

VILLAGE OF HERRINGS

6/2/2016

Dissolution Study & Implementation Plan

This Dissolution Study & Plan was prepared for the Village of Herrings by the five member Dissolution Planning Committee with the assistance of the NYS Tug Hill Commission and funds provided by the NYS Department of State under the Citizens Re-Organization Grant Program.



VILLAGE OF HERRINGS

DISSOLUTION STUDY & IMPLEMENTATION PLAN

ACKNOWLEDGEMENTS

The Village of Herrings would like to thank staff of both the Village of Herrings and the Town of Wilna for contributing data and information to the development of this Plan. In addition, we thank those who served on the Dissolution Committee for offering their expertise and guidance to the overall process. The Herrings Dissolution Committee is made up by the following board members, trustees, and residents:

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Paul Smith, Town of Wilna Supervisor
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DISSOLUTION PROCESS & BACKGROUND

The village of Herrings was presented with a citizen's petition for dissolution under General Municipal Law Article 17-A in July 2015. In cases where a petition is received to dissolve, rather than being initiated by the village Trustees by resolution, a referendum on the decision to dissolve puts the matter to vote prior to completion of a Dissolution Plan. That referendum was held on November 3, 2015 and affirmed the dissolution process to move forward. This elector initiated dissolution also begins an abbreviated timeline for completion of the Plan (see Appendix D): 180 days from the first board meeting after the successful vote to dissolve. As a result, at the time of the December 6, 2015 board meeting it was decided to form a Dissolution Committee comprised of both village and town stakeholders to shepherd the process. The NYS Tug Hill Commission was asked to assist with preparation of the Dissolution Study & Plan. Both a list of Dissolution Committee members and a timeline can be found as appendices to this document. The Plan must be completed by June 3, 2016.

Upon plan completion, if the village board of trustees fails to approve the Plan, then any five electors who signed the dissolution petition may commence a proceeding pursuant to Article 78 of the State's Civil Practice Law and Rules to compel compliance with the law.

The plan must be made available for public review no more than five business days after trustees approve the proposed dissolution plan and a public hearing must be held not less than 35 days but not more than 90 days after approval.

The village board must approve the dissolution plan within 60 days of the final hearing. After the final hearing, the board may amend the dissolution plan, which then again must be provided to the public no later than five business days after amendment.

The finalized dissolution plan is subject to a permissive referendum. Thus, the final approved plan can be put to a vote of the village's residents but only if a petition with a minimum of 25% of the electorate is filed with the village clerk not later than 45 days after the dissolution plan's final approval. If the clerk determines that the petition is valid, then the village board of trustees, within 30 days, must enact a resolution calling for a referendum by the electorate on the question of whether to approve the dissolution plan. The referendum must be held not less than 60 nor more than 90 days after the resolution is adopted.

Dissolution occurs if a majority of electors vote in favor of the plan. If the majority does not vote in favor of the plan, then the dissolution does not take effect.

DEMOGRAPHIC & SOCIO-ECONOMIC SUMMARY

Overview

The village of Herrings is located within the town of Wilna in the northeastern portion of Jefferson County. The village abuts the Fort Drum Army military installation to the west and the Black River to the east. The village of Deferiet, is located less than one mile to the north. The town's third and largest village, Carthage, is located 3 miles to the southeast.



Typically, geography, population densities and the level of services determine cost of municipal service delivery. These factors are expanded upon in the following pages.

Herrings is 0.3 square miles to Deferiet's 0.7 and Carthage's 2.7, within Wilna's nearly 80 square mile area. As would be expected, the population per square mile in the villages is higher than that of the town (319.9 in Herrings, 452.4 in Deferiet and 1,494.3 in Carthage to Wilna's 81.7 people per square mile. The 2010—2014 American Community Survey indicates that Herrings has the highest median household income at \$46,667 of the four municipalities, followed by the town at \$45,700, Carthage with

\$42,930 and Deferiet at \$42,083. All are lower than that of Jefferson County at \$57,485 and significantly lower than that of New York State at \$71,419.

Table 1: Municipal Comparisons

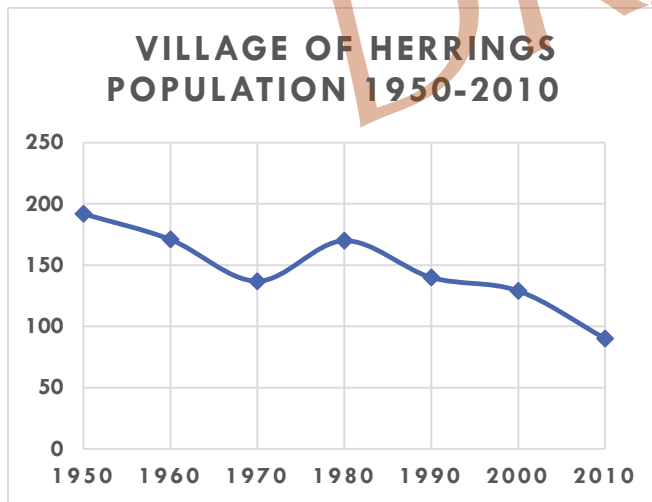
	Population (2010)	Land Area (square miles)	Population per square mile	Housing Units*	Housing units (per square mile)	Median Household Income
Herrings (V)	90	0.3	319.9	35	124.4	\$46,667
Deferiet (V)	294	0.7	452.4	132	203.1	\$42,083
Carthage (V)	3,747	2.7	1494.3	1616	644.4	\$42,930
Wilna (T)	6969	79.5	81.7	2620	33.3	\$45,700

Source: US Census Bureau 2010, 2010-2014 ACS 5-Year Estimates

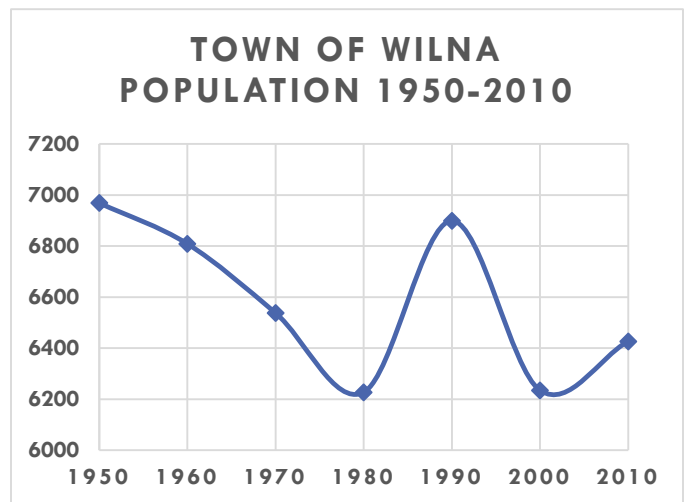
*Town data includes villages and Census Designated Places (CDPs)

Population

The village of Herrings' population has been steadily declining since the 1980 Census. Population was reported as 90 persons in 2010 and estimated at 85 in 2014. The town of Wilna's population decreased steadily from 1950 through 1980, from 6,969 to 6,227. However, in 1990 the population was 6,899, likely reflecting an influx of troops throughout the 1980's at adjacent Fort Drum, followed by a decline in 2000 to 6,235 and slight climb to 6,427 at the time of the 2010 Census.

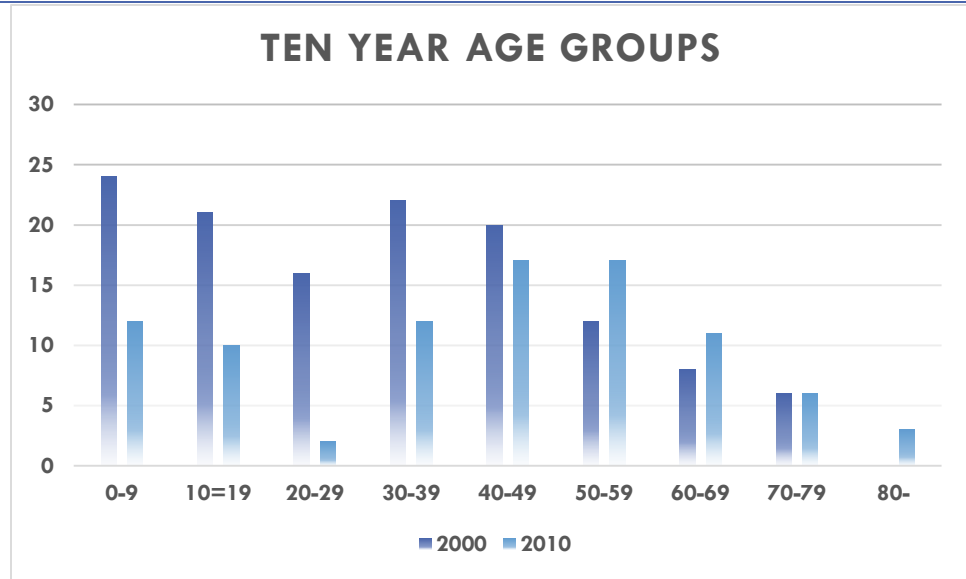


Source: US Census Bureau 1950, 1960, 1970, 1980, 1990, 2000, 2010



Source: US Census Bureau 1950, 1960, 1970, 1980, 1990, 2000, 2010

The population of the village is also aging – median age increased from 32.2 in 2000 to 47.3 in 2010. The figure to the right shows ten year age groups in 2000 compared with those in 2010. Each age group from 0-9 to 30-39 showed a decrease during that period, while each age group from 40-49 to 80+ showed an increase.



Source: US Census Bureau 2000, 2010

REQUIRED PLAN ELEMENTS

General Municipal Law Article 17-A §774 states that the governing body may by resolution endorse the proposed Dissolution Plan to initiate dissolution implementation under this article. This document sets forth the Dissolution Plan of the village of Herrings that has been developed by the Dissolution Study & Plan Advisory Committee. The following are the required Dissolution Plan elements:

(A) THE NAME OF THE LOCAL GOVERNMENT ENTITY TO BE DISSOLVED

The Village of Herrings in Jefferson County, New York.

(B) THE TERRITORIAL BOUNDARIES OF THE ENTITY

Located in the Northeastern portion of Jefferson County along NYS Route 3 and the Black River, south of the Fort Drum Military Installation in the Town of Wilna, at the following coordinates: 44° 1' 26" N, 75° 39' 35" W, 44.02389° N, 75.65972° W (44.023868, -75.659709). According to the United States Census Bureau the village has a total area of 0.3 square miles.

(C) THE TYPE AND/OR CLASS OF THE ENTITY

Village

(D) A FISCAL ESTIMATE OF THE COST OF DISSOLUTION

1.) The fiscal estimate of the cost of dissolution is \$53,500 which will be funded primarily through NYS Local Government Efficiency Grants (the village is eligible for a \$50,000 implementation grant, and the

town is eligible for a separate grant for \$50,000 toward implementation cost. Both grants require a 10% match.) Approximate costs include \$12,000 in general legal fees; \$20,000 for preparing documentation required to create four (4) special districts for water, sewer, fire protection, and street lighting; \$14,000 in consultant fees to assist the village in the transfer of accounting records; \$3,000 for transfer of village records to the town; \$2,500 for engineering services to assess the sewer treatment facility; and miscellaneous costs related to advertising fees and public outreach of \$2,000.

2) The projected tax rates for former village property owners would decrease by an estimated 11.7%, an approximate savings of \$213 on a property assessed at \$100,000. Town property owners are projected to see an estimated decrease of 12.2%, equal to a reduction of \$38 on a property assessed for \$100,000. Further analysis and more detailed projection can be found in Appendix B.

Former village residents who are now receiving water and sewer services will pay their own water and sewer fees. Similarly, the street lighting district will divide costs among former village residents.

(E) ANY PLAN FOR THE TRANSFER OR ELIMINATION OF PUBLIC EMPLOYEES

- The village of Herrings positions of Mayor, Trustees, Clerk/Treasurer, and one part-time backup DPW/ Sewer Operator would be eliminated upon dissolution.
- The town of Wilna has requested that the Sewer Operator position be transferred.

(F) ASSETS AND THEIR FAIR VALUE

The village of Herrings has \$729,091.18 in assets. This figure includes real property, buildings, vehicles, personal property and fund balances. Building, real and personal property values are based on 2015 assessed and insured values, vehicle values are based on market values and comparative sales prices. Fund balances were taken at December 31, 2015. Properties and other municipal equipment may be subject to deed restrictions and transfer of title to the town prior to dissolution is recommended. Detailed breakout of assets can be found on pages 17-19.

(G) LIABILITIES AND INDEBTEDNESS AND FAIR VALUE

The village has outstanding debt related to both their sewer and water systems. As of December 2015, the village had a remaining debt of \$70,300 from an \$111,000 30-year serial bond issued to the village in 2004 through USDA for the sewer system. That debt has a 0% interest rate with an annual pay down of \$3,700.

The village also has outstanding debt related to the joint water system with Deferiet. For the Herrings-Deferiet Joint Water water project, the Herrings portion of the debt is \$317,400 with a 30-year term at 2%.

Environmental Facilities Corporation (EFC) and Deferiet have not yet closed this loan agreement and contingent upon the EFC billing cycle, Deferiet will forward a bill to Herrings for payment of approximately \$10,600. Both of these debts will be transferred to the corresponding special district formed upon village dissolution.

(H) AGREEMENTS ENTERED INTO WITH THE TOWN TO CARRY OUT THE DISSOLUTION

There is no existing agreement entered into between the town and the village in order to implement the dissolution. However, it is recommended that both entities consider including the village in the town's contract with the village of Deferiet for fire protection services, since that contract is negotiated and executed around the town's fiscal year end date of December 31. Otherwise, the town will need to amend their contract upon Herrings dissolution on March 31, 2017.

(I) HOW RESIDENTS WILL CONTINUE TO BE FURNISHED MUNICIPAL SERVICES

All services currently provided by the village will be provided by the town post dissolution. (see Village Services & Employees Section on page 9). Special districts will be formed for water, sewer and street lighting and those within those districts will be billed directly for those services.

(J) TERMS FOR THE DISPOSITION OF ASSETS, LIABILITIES AND INDEBTEDNESS

The village has no debt other than for sewer and water services, which will be serviced by new special districts upon dissolution. All other real and personal property will be transferred to the town.

(K) LOCAL LAWS, ORDINANCES, RULES OR REGULATIONS AFTER DISSOLUTION

The village of Herrings has the following laws:

- 2002 Local Law 1, Sewer Use Law
- 2003 Local Law 1, to establish residency requirements for the offices of Village Clerk, Village Treasurer, and/or Village Registrar of the Village of Herrings
- 2010 Local Law 1, for the control of dogs in the Village of Herrings
- 2014 Local Law 1, authorizing the Adoption of the Property Tax Levy in Excess of the Limit Established by General Municipal Law Section 3-c

A listing of town of Wilna laws can be found in Appendix C. It is recommended that Herrings local law 1 of 2010 regarding dog control be repealed at the time of dissolution due to a similar law in existence for Wilna (Law 4, 2010).

The town will need to amend its zoning law to include the former village.

(L) THE EFFECTIVE DATE OF THE PROPOSED DISSOLUTION

The proposed effective date of dissolution is at the end of the State's fiscal year, **March 31, 2017** (the State Fiscal Year (SFY) runs from April 1 - March 31). This is to ensure that the Citizen's Empowerment Tax Credit (CETC) is available within the same calendar year as dissolution. CETC is paid on September 27th the following SFY that dissolution occurs.

Also, considered as possible dissolution dates were the end of the Town's fiscal year, December 31, 2016. However, gauging from the experience of other dissolved villages, it is thought that this timeline is

too short for effective implementation. Additionally, the Village's fiscal-year-end of May 31, 2017 was considered, but payment of the CETC incentive would not begin until September 2018.

(M) PUBLIC HEARING ON THE PROPOSED DISSOLUTION PLAN

The village of Herrings shall hold a public hearing to present and discuss the Plan on 6:30 p.m. July 10th at the Village Municipal Offices

(N) ANY OTHER MATTER DESIRABLE OR NECESSARY TO CARRY OUT THE DISSOLUTION.

Upon dissolution, three special purpose districts should be formed. Although, the village may choose to authorize the town to form the districts prior to dissolution. The districts are as follows:

- 1) Sewer District
- 2) Street Lighting District
- 3) Water District
- 4) Fire Protection District

VILLAGE SERVICES & EMPLOYEES

The following section summarizes all of the services and functions provided to village residents and their cost to taxpayers. The Dissolution Committee has met at least monthly to address methods for continuing delivery of services provided by the village. Key to our discussions have been which costs will be reduced upon dissolution and which costs will be transferred to the town in order to maintain those services. Recommendations will be forthcoming as our discussions and research continues.

Village Leadership

The village of Herrings is governed by an elected Mayor and a two-member Board of Trustees. The Mayor and Trustees have 2-year terms. The Mayor and Trustees are responsible for managing village operations that include budgeting and finances, employees, and disposition of infrastructure and equipment. Per the village's 2015-2016 budget (which runs from June 1 to May 31), the direct annual personal service cost for the Mayor is \$3,000, and \$2,000 for each Trustee. Indirect costs include employee benefits (Social Security, Workers Compensation and Unemployment Insurance) at a rate of 17 percent (as per Jim Yuhas, administrator to the Wilna supervisor) of their wage. That would add an additional \$1,190 as part of the overall labor cost. The mayor also has a contingency line of \$1,000 in the budget. Thus, the annual village leadership cost is equal to \$7,000 in direct labor cost, \$1,190 in employee benefits, and \$1,000 in contingencies, for a combined total of \$9,190 annually.

Note there has been high turnover in the trustee positions over the past few years, resulting in difficulty in village governance.

Post Dissolution:

The positions of Mayor and two Trustees will be eliminated. The Town Board will assume all responsibilities of the Board of Trustees and continue to represent the former village residents as residents of the town.

Clerk/Treasurer

The village of Herrings Board appointed the current part-time Clerk/Treasurer in December 2015 to be responsible for maintaining village active and inactive records; accounting for village revenue and expenses, payroll and insurances; permitting; taking minutes for all board meetings; receiving tax payments; preparing public notices; and fielding residents' requests for information. The 2015-2016 budget indicates that the base pay for the Clerk/Treasurer position is \$2,250 annually plus additional departmental costs associated with maintaining records & services – i.e. managing the Sewer/Water Fund as well as the required (7.65%) withholding cost for Social Security, Workers Compensation and Unemployment Insurance. Additionally, equipment in the amount of \$500 and contingency expenses of \$1,000 are budgeted to this position, for a total of \$3,907.

Note there has been high turnover in the clerk/treasurer position over the past few years, resulting in difficulty in village governance.

Post Dissolution:

The position of Clerk/Treasurer will be eliminated and the Town Clerk will assume all duties and responsibilities.

Village Attorney

In the approved 2015-16 budget appropriation, the village appropriated \$1,000 to cover the cost of legal services provided by Lawrence Hasseler of Conboy, McCay, Bachman & Kendall, LLP Law Firm at an hourly rate of \$225 with a 25% courtesy discount that is extended to not-for-profit entities/municipalities, for an “effective” hourly rate of \$168.75.

Post Dissolution:

The budgeted cost for attorney services will be eliminated and become the responsibility of the Town Attorney.

Department of Public Works

The village had two part-time employees until January 2016, responsible to maintain village streets, buildings, sidewalks, village parks, and snow removal. One employee resigned at that time and has not been replaced. The remaining employee averages approximately 10 hours per week at an hourly rate of \$12. No other employee benefits are paid.

The 2015-16 budget itemizes several of these functions (buildings, transportation/street maintenance, snow removal, playground and recreation centers, street cleaning). However, combined total for personnel in these five categories is \$4,550.

Equipment in these five categories total \$2,800. Contingencies, including excessive snow removal, in these five categories total \$7,500.

Total costs for the DPW are \$14,850 (personnel, equipment and contingencies).

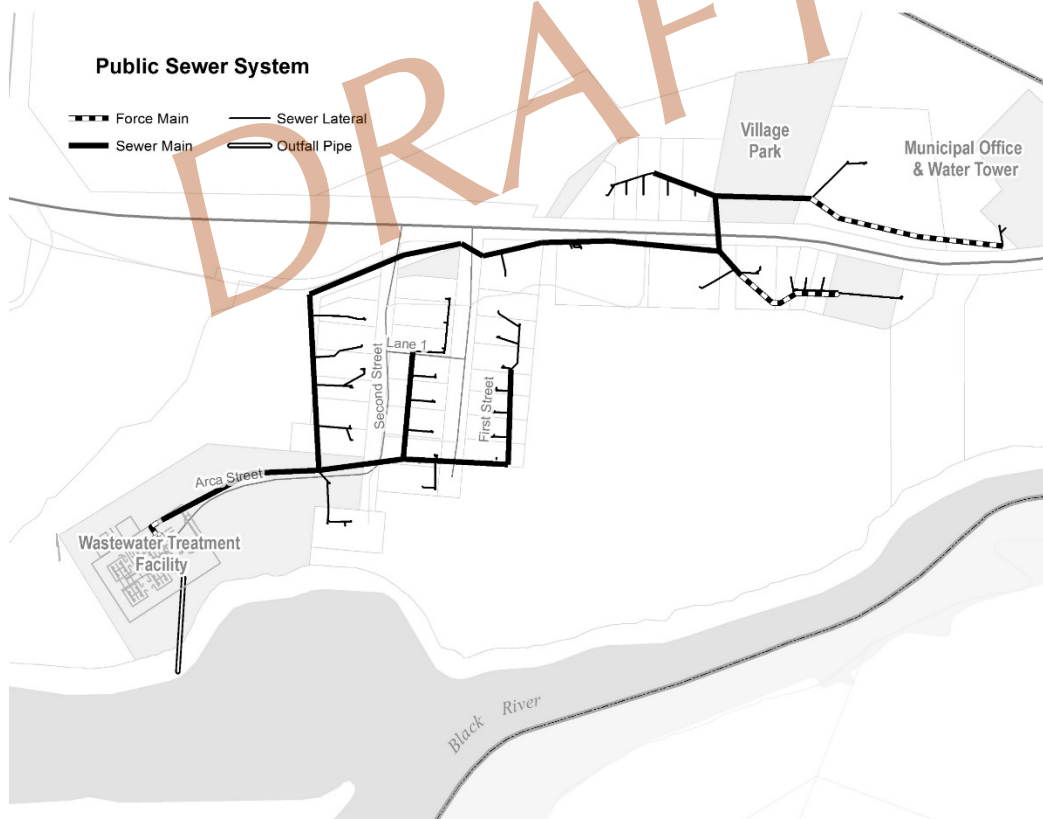
The village contracts with Deferiet, Wilna, or a private company to undertake large street repair projects, such as paving.

Post Dissolution:

Part-time DPW positions would be abolished upon dissolution. All DPW functions would be absorbed by the town.

Public Sewer

The village of Herring's Wastewater Treatment Facility (WWTF) was put into service on or about June 2001 and can treat up to 12,650 gallons of wastewater per day. The treated effluent wastewater is discharged to the Black River. The latest State Pollutant Discharge Elimination System (SPDES) inspection conducted by NYS Department of Environmental Conservation (DEC) was on December 8, 2015, resulting in a finding that the facility is operating in a satisfactory manner. The facility earned a score of 45 in the EBPS scoring system, an EPA scoring system, which means that the SPDES permit must be evaluated to determine whether modification is required. This is in process.



Currently, there are 37 Equivalent Dwelling Units (EDU's) connected to the Wastewater Treatment System. There is no sewer service at the farthest east and west ends of the village, which includes 9 residential properties, 5 on the east side of the village and 4 on the west side. Those not being served by the public sewer within the village opted out as the project was being considered. However, the facility was constructed to expand, contingent upon demand. The sand beds that are used to treat the waste water have a useful life of approximately 15 years, depending on maintenance and loading. Recommendations from an engineer regarding maintenance needs may be necessary.

The village adopted a "Sewer Use Law" in September of 2002 to establish district boundaries and guidelines regulating the newly formed sewer district. In June 1, 2013, quarterly rates were increased from \$100 to \$105.00. Late fees can be charged from 5 percent after the first month and one percent each month thereafter, to a one-time late fee of 10 percent. Sewer bills are sent out separately from the village's property tax bills.

According to the 2015-2016 budget, revenue to support the sewer fund comes from user fees (\$18,900), interest and penalties (\$660), earned interest (\$100), and interfund transfer (\$5,000), for a total of \$24,660 in revenue.

One part-time hourly wage village employee oversees operations of the plant at a cost of \$12 per hour at an annual cost of \$6,500. A second part-time employee was hired in March 2016 to provide coverage to the primary Sewer Operator during vacation and holidays. Associated administrative cost to operate the Wastewater Facility annually is \$1,100 to cover the additional cost to the village clerk, who processes the bills on a quarterly basis, as well as \$1,560 to cover the required Social Security, Workers Compensation and Unemployment Insurance. There is an additional \$1,500 budgeted for equipment costs, and \$10,000 budgeted for contingency expenses. Debt service costs \$3,700, although \$4,000 was budgeted in the 2015-16 budget. Total sewer costs are \$24,600.

Post Dissolution:

The town has expressed interest in retaining the current part-time Sewer Operator. It is recommended that the town hire the Sewer Operator at the current rate of pay and benefits totaling \$8,060 annually. The \$1,100 in administrative fees paid to the village Clerk will transfer to the town and may be outsourced at their discretion. The backup Sewer Operator position, who provides a backup when the primary operator is on holiday, will be eliminated. The town may choose to perform administrative duties for the new Sewer District that will be formed upon dissolution for a similar fee. Debt service will be paid by those benefiting from the sewer, within the District. Budgeted funds for equipment and contingency expenses of \$11,500 will be paid by sewer users.

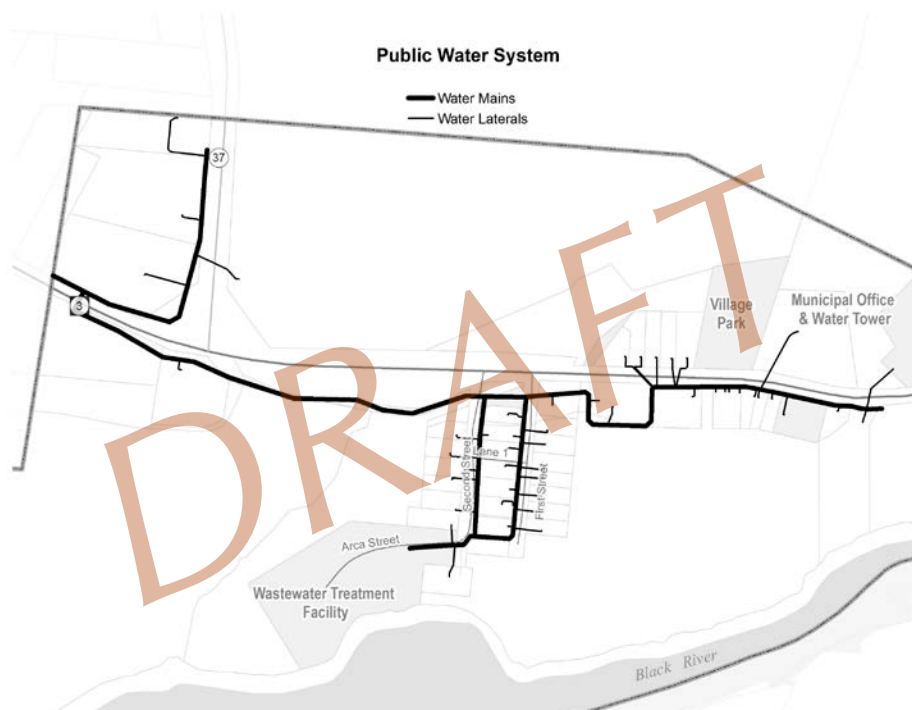
A conditions assessment of the WWTF will be done by engineering firm BCA in May which will address costs for repairs or replacement to anticipate in the future.

Public Water

In 2006, the villages of Deferiet and Herrings as well as the town of Wilna joined together to study the public water situation. Wilna declined to proceed with forming a water district in that area. Deferiet

was found to have good quality and quantity of water, but an aging chlorinator and tank as well as asbestos mains. Herrings had contaminated private and commercial wells, an old tank, undersized mains, and no shutoffs. The two villages decided to form a joint water system and were successful in securing Shared Municipal Services Initiative and Drinking Water State Revolving Fund grants.

Through an inter-municipal agreement between the villages of Deferiet & Herrings, signed on September 2008 and amended August 2013 and February 2014, and a Memorandum of Understanding (MOU), the village of Deferiet serves as lead agent to the \$4 million dollar, multi-phased project. Based upon the 42 equivalent dwelling units (EDU's) in Herrings and divided by the total number of combined EDU's in the two villages, Herrings is responsible for 23% of the total debt service spread over a 30-year period at 2% interest rate. Environmental Facilities Corporation (EFC) and Deferiet have not yet closed this loan agreement and contingent upon the EFC billing cycle, Deferiet will forward a bill to Herrings for payment of approximately \$10,600.



Additionally, Herrings is scheduled to make quarterly operations and maintenance (O&M) payments at a rate of \$4.50 per 1,000 gallons. O&M costs include the cost of water as well as daily meter readings at the main meter (located at the intersection of State Route 3 & County Route 37), mileage & DPW staff time, electrical, and water treatment supplies, etc. Based upon usage over the past 6 months, Deferiet anticipates the quarterly cost to Herrings will range between \$2,500 and \$3,000.

Both villages now have water meters, share a tank, installed many new services in both villages, and constructed all new water mains in Herrings. Village water in Herrings stops on Route 3 at the municipal building. There is no public water east of the municipal building on Route 3, which includes three residences.

The village of Herrings has not adopted a water use law to date. The village is currently billing \$150/EDU per quarter, for a total cost of \$600/year/EDU. The village is using a total of approximately 5,000/gpd of water. The village plans to finalize a water use law using the Deferiet water use law as a template, once completed.

Post Dissolution:

A water district will be formed for current water users within the former village. Deferiet administers the water project, financing and billing. The district formation process will determine how the billing will be done going forward, either billed to the town or billed to directly to district residents.

Property Tax Assessment

The town of Wilna Assessor establishes the value of real properties within the village taxing jurisdiction and forwards the information to the village of Herrings Mayor. This is provided to the village as a service by Wilna and there is no cost associated with it.

Post Dissolution:

Dissolution will have no impact to the functions of the Assessor.

Code Enforcement

As a small incorporated village in Jefferson County, local village officials approved a local law in 1990 to opt out of providing code enforcement to residents. Therefore, the Jefferson County Building Code and Enforcement Office provide service to the village when called upon. With the lack of any local zoning ordinance to regulate building & land-use, the county code officer is rarely called upon for service.

Wilna does provide code enforcement services at the town level (i.e. does not use the County code enforcement officer).

Post Dissolution:

The town of Wilna will provide code enforcement services to the former village area.

Vehicle Transportation System: Highway & Street

The village of Herrings is located along 0.98 miles of New York State Highway on Route 3, 0.19 miles of County Route 37, and 0.33 miles of village streets (First Street, Lane 1, Second Street, and Arca Street). The village's two part-time employees help clear street and sidewalks, and during heavy snowfalls and/or when heavier equipment and extra manpower is needed, the village seeks the assistance of the town and neighboring village of Deferiet crews to help out.

While the state operates and maintains State Route 3 traveling through the village, it contracts with Wilna to provide snow plowing and mowing services. Resurfacing and paving are provided through by state highway workers as part of the state highway management initiative.

Post Dissolution:

The town of Wilna will be responsible for all street maintenance and plowing.

Emergency Services

The village contracted with the village of Deferiet Fire Department for fire and emergency protection services through a three-year IMA, which terminated on June 30, 2013, at a cost of \$3,000 annually (see Appendix F). Due to multiple unexpected board transitions and turnovers, a formal contract was not signed between the two parties for budget years 2013-14, 2014-15 and 2015-16. However, an informal agreement and budget transaction has been maintained for the past three years between both villages at a cost of \$4,500 annually.

Post Dissolution:

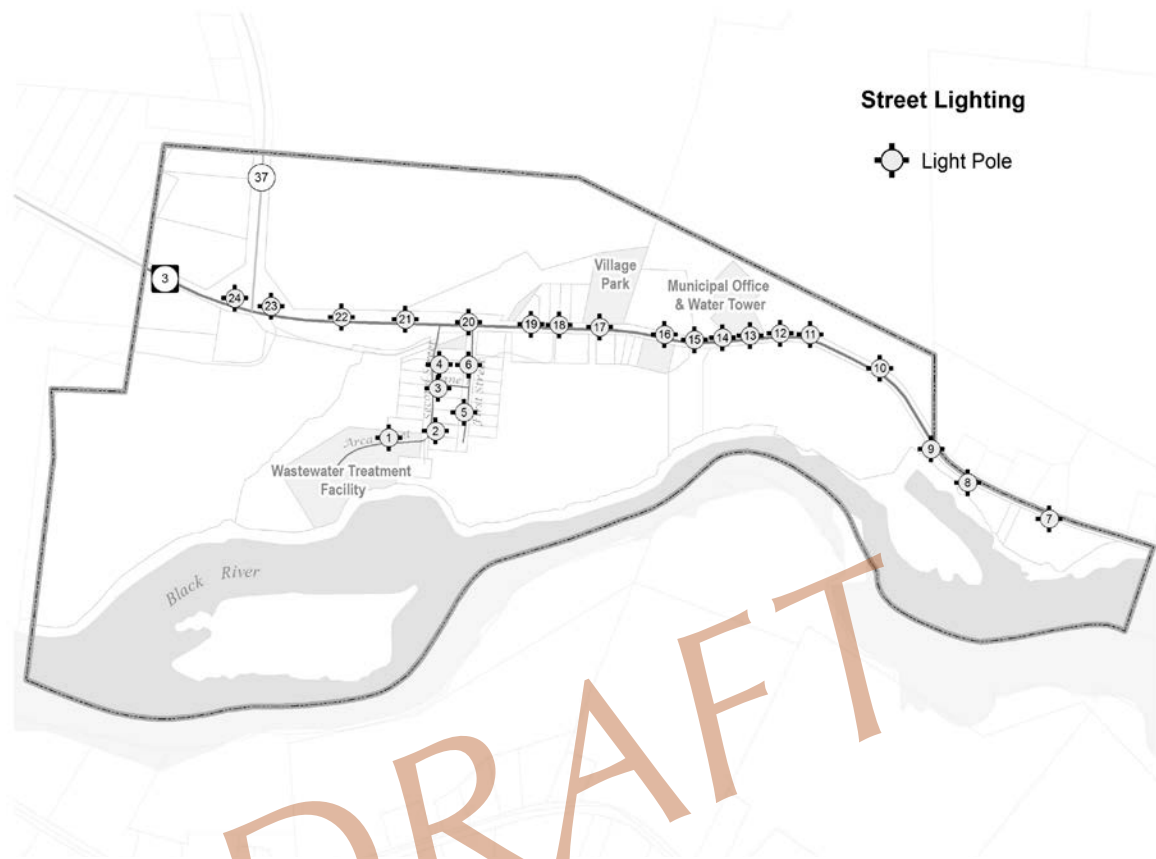
The town may choose to negotiate its next contract with Deferiet to include the former village, since dissolution would occur part-way through the town's contract period (fire contracts are executed in January) and would need either an amended contract or a separate contract to provide emergency protective services to the former village at dissolution.

Street Lighting

The village has provided lighting along streets, highways, alleys, and publicly owned properties throughout the village since 1927 through contracts with regional lighting service providers. Currently, the village contracts with National Grid at a cost of approximately \$3,000 annually. Street lights are owned and maintained by National Grid, who bills the village on a monthly basis.

Post Dissolution:

A Street Lighting District will be formed upon dissolution to include those currently benefitting from street lighting within the former village.



Refuse Collection

The village historically provided residents with trash removal service through contracts with independent service providers. In 2012, the village board authorized the village itself to collect, remove and dispose of trash as part of a cost saving measure. As such, village residents were designated a central location where they could bring their garbage for the village to dispose of. As costs to operate the program increased, the village stopped the temporary program and entered in to a verbal contract with Williamson Trash Removal Services in August 2014 to provide residents with trash removal service at a monthly cost of \$850, for an annual total of \$10,200.

Additionally, the village provides a spring clean-up day to remove white goods, furniture, etc. through Williamson Trash Removal Service at a cost of \$1,300. The combined total appropriated funds for trash removal is \$11,500.

Post Dissolution:

- If the village opts to dissolve, residents will have two options for removal and disposal of trash: Contract directly with an independent Trash Removal Pickup Service Provider of their choice for the removal and disposal of trash or as a town resident they will have the

- Haul own trash to the town transfer station, located at 40807 County Rt. 40 (Avery Road), Carthage, NY. Residents would first to purchase a punch card from the town clerk at 414 State Street, Carthage, NY at a cost of \$10 to dispose of up to four (4) 30-gallon trash bags. Residents would then hand the punch card to the transfer station operator to punch a hole in the card (one punch for each bag of garbage and up to 4 punches per card). The Transfer Station is in operation Friday and Saturday between the hours of 8:00 AM and 4:00 PM.

Parks & Recreation

The Children's Park was the old elementary school playground. It sits on a 1.87-acre parcel and includes playground equipment. The 2015-16 budget included \$500 in personnel, \$250 in equipment and \$500 in contingency for a total of \$1,250.

Post Dissolution:

Based upon the village attorney's review, the use of parks & playgrounds will remain the same if the village opts to dissolve. The village attorney is reviewing the property's deed and will provide a recommendation on future ownership and use.

Sidewalks

Sidewalks do not extend throughout the entire village, but are located only along NYS Route 3. There are 625 feet of sidewalks that are within the State right of way. They are not maintained by the State or the village and stay snow-covered during the winter.

Post Dissolution:

A decision will need to be made regarding whether to keep sidewalks and if so how they should be maintained. Since sidewalks are sited within the NYS Route 3 right-of-way and there is no existing maintenance agreement between the village and the state, NYS Department of Transportation should be consulted to determine ownership and next steps for removal or upkeep.

VILLAGE ASSETS

The village of Herrings has \$729,091.18 in assets. This figure includes real property, buildings, vehicles, personal property and funds. Building, real and personal property values are based on 2015 assessed and insured values, vehicle values are based on market values and comparative sales prices. Fund balances were taken at December 31, 2015.

Properties dedicated for parkland and other municipal purposes may be subject to deed restrictions. Transfer of titles to the town prior to dissolution is recommended.

Fund Balances

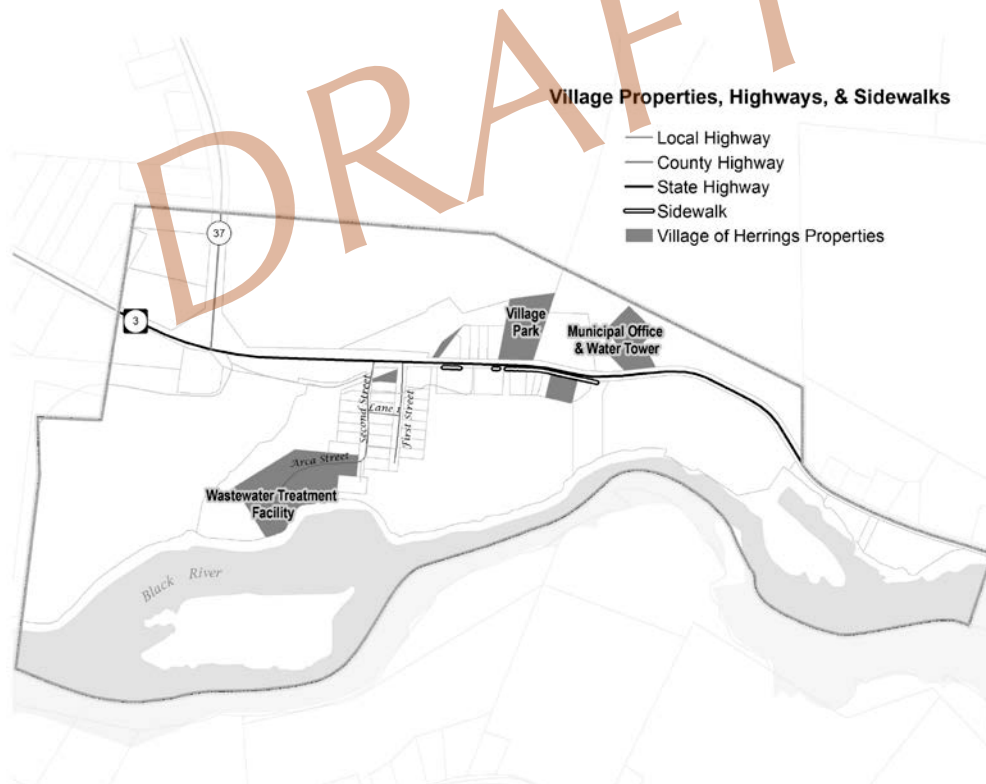
General Fund	\$26,001.69
T&A Fund	\$ 2,849.80
Sewer Fund*	\$ 2,198.37
Water Fund*	<u>\$20,632.32</u>
Total	\$51,682.18

*Water and sewer funds should be transferred to the newly formed districts to be used for those purposes. If the districts are created prior to dissolution, then the funds could be transferred upon district creation.

Buildings, Equipment, Personal and Real Property

Based upon the Jefferson County Real Property Tax Database, the village owns six (6) parcels of tax exempt property valued at 100% assessment totaling \$545,900. The village owns a children's playground, the sewer treatment plant, and municipal building & water tower (scheduled for demolition in the spring of 2016). The three (3) remaining properties are vacant parcels.

Insured value of personal property associated with the municipal building is \$65,218 and the value of business personal property located at the sewer building is \$7,841, for a total value of \$73,059.



Real Property	Parcel No.	Street Address	Assessed or Insured Value
Wastewater Treatment Facility	76.44-1-38	24475 Arca St. Carthage	\$215,900
Personal Property			\$7,841
Municipal Office & Water Tower	77.37-1-2	35985 NYS Rt. 3	\$244,100
Personal Property			\$65,218
Children's Playground / Park	76.44-1-10	NYS Rt.3	\$45,500
Sliver of Vacant Property	76.44-1-4	NYS Rt.3	\$1,200
Sliver of Vacant Property (between 1 st & 2 nd St)	76.44-1-13.1	NYS Rt. 3	\$5,100
Vacant Commercial Lot	77.37-1-8	35900 NYS Rt. 3	\$34,100
Total Village Owned Real Property Value			\$618,959

Source: Jefferson County Real Property, based on 100% equalization rate
Insured value of personal property, Haylor, Freyer & Coon, Inc.

Commercial Vehicles/ Equipment	Description	Fair Market Value
1975 Loader	Ford 5450	\$6,000
1986 Dump Truck w/ Plow	GMC	\$5,000
1996 Tractor w/ Blade	Ford 1220	\$5,250
2014 Truck	Ford F-350	\$42,200
Total		\$58,450

Source: NADA and comparable sales searches

SUMMARY OF REVENUES

The Budget Process

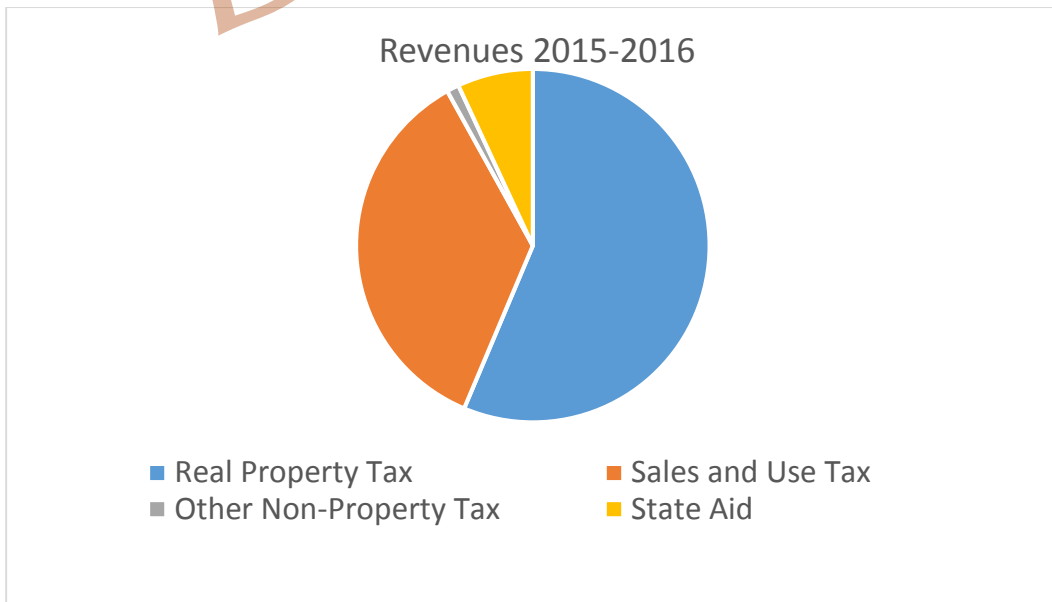
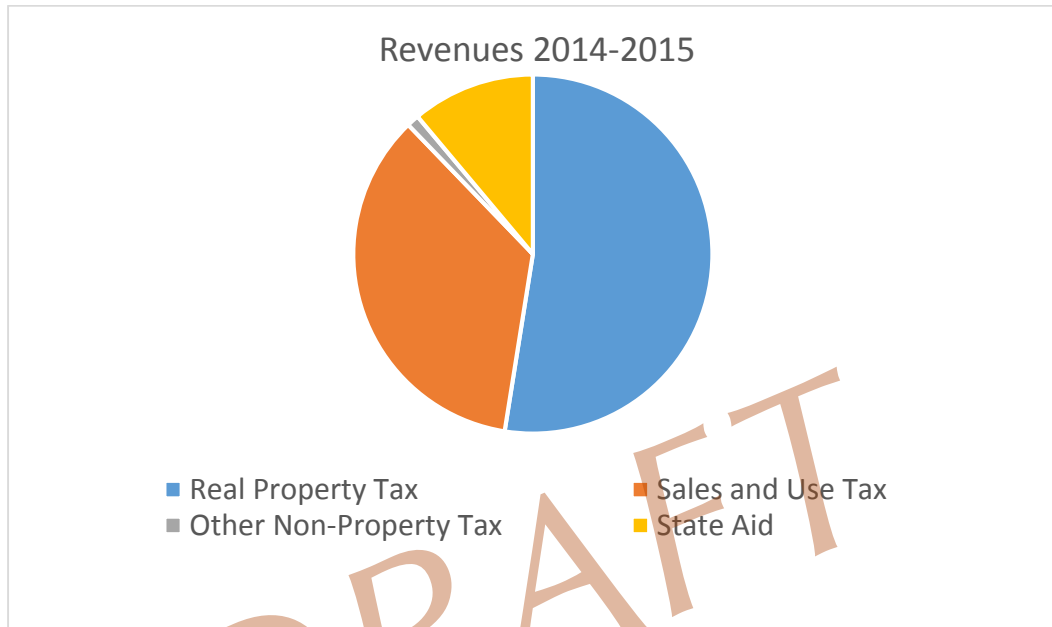
The village determines how much revenue needs to be raised to cover the costs of running the government for the fiscal year. Sources of revenue to the village include sales tax distribution from Jefferson County, special franchise agreements, CHIPS, state aid revenue sharing, county mortgage tax disbursements, user fees (sewer and water payments), and property taxes. The necessary property tax revenue to be raised is calculated after all other sources of revenue are confirmed.

Revenue Sources

The total amount of revenue needed to run the village in 2015-2016 was \$77,852. The total estimated combined revenue from sales tax, CHIPS, and state aid in 2015-2016 was \$33,103 or 43% of the total budget. There was a cash surplus from the general fund in 2014-2015 of \$2,000, which left \$42,749 needed to be raised through property taxes. The assessed value of real property in the village in 2015-

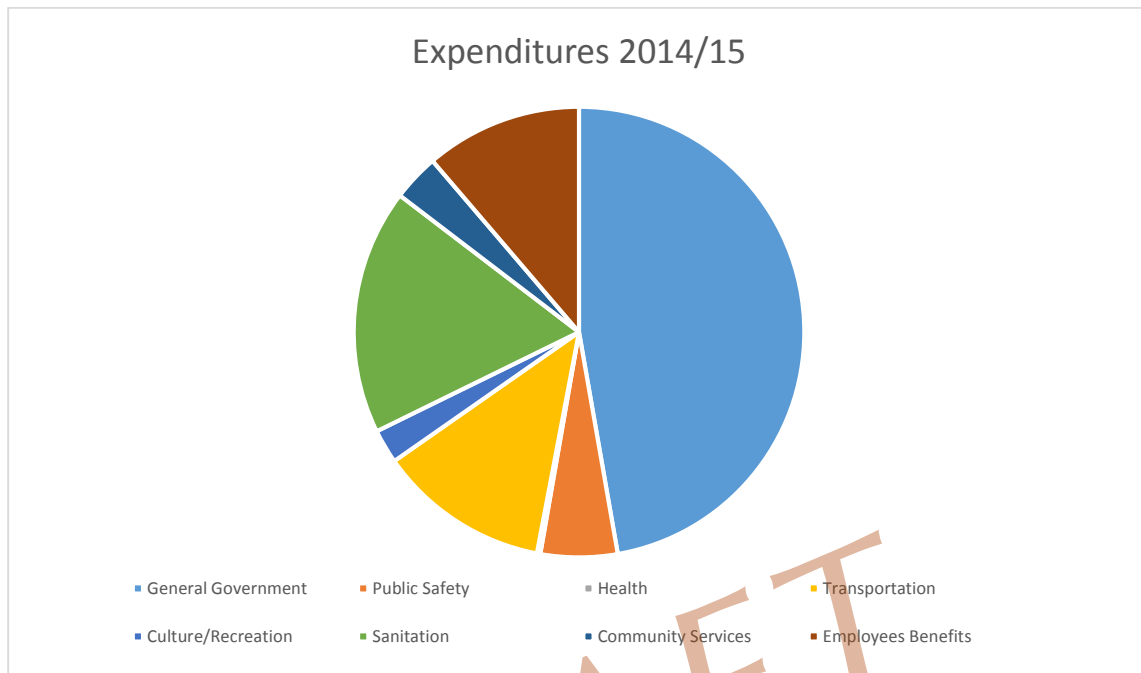
2016 was \$8,406,664. The assessed value of special franchises (National Grid, Verizon, and Time Warner) in the village in 2015-16 was \$147,034 (3% of the village's tax base), for a total assessed value in the village of \$8,583,698. To come up with the tax rate, the \$42,749 is divided by the total assessed value, to calculate a tax rate of \$4.98/\$1000.

Note that there is one parcel that contributes a significant percentage (22%) to the tax base in the village, the Erie Boulevard Hydro Plant, assessed value of \$3,408,000. The hydro plant paid \$16,972.63 in property taxes in 2015-2016.

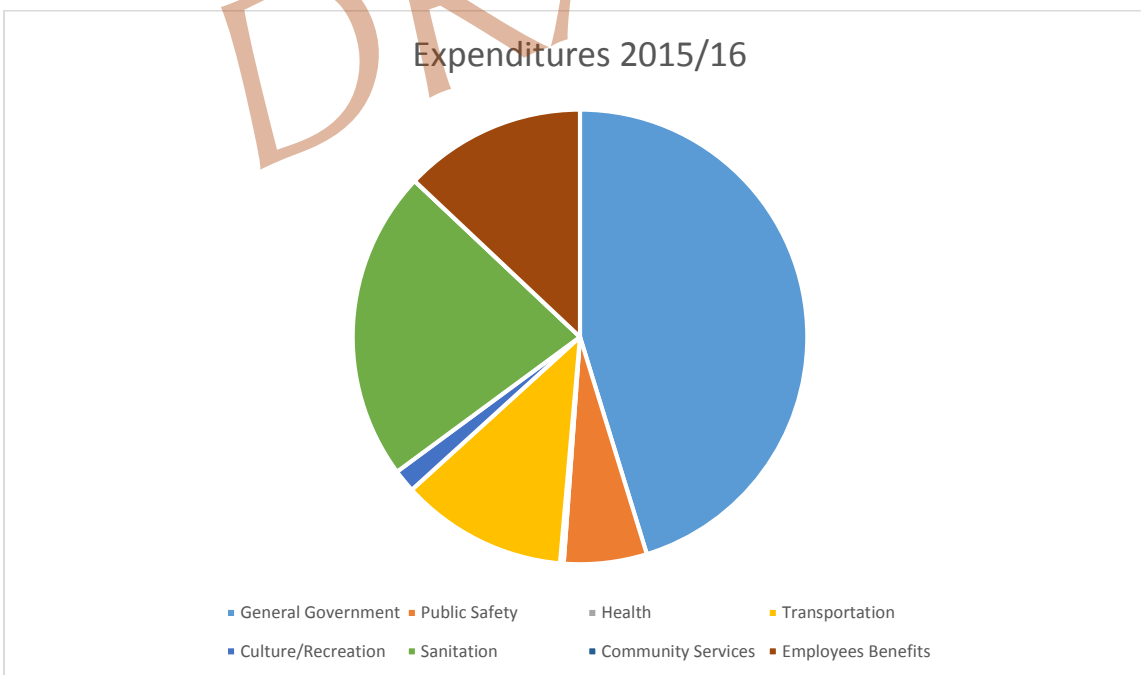


SUMMARY OF EXPENDITURES

In the 2014-2015 village budget, the three largest expenditure items were 1) general government (\$38,599); 2) sanitation (\$14,403); and 3) transportation (\$10,050).



In the 2015-2016 village budget, the largest three expenditure items were 1) general government (\$34,549); 2) sanitation (\$16,903); and 3) employee benefits (9,900).



CITIZENS EMPOWERMENT TAX CREDIT

The Citizen Empowerment Tax Credit (CETC) is an incentive payment for the reorganization of local governments provided to municipalities by the Legislature pursuant to Article 4-A Section 54 of the State Finance Law.

This additional annual aid to local governments is equal to 15% of the combined amount of real property taxes levied by all of the municipalities involved in the consolidation or dissolution, not to exceed \$1 million and applies to municipal consolidations or dissolutions that have occurred on or after April 1, 2007. Therefore, the town of Wilna would receive an estimated incentive payment in the amount of \$139,704, based on the Village of Herring's 2015-2016 tax levy of \$42,749.00 and the Town of Wilna's (town-wide minus special districts) tax levy for 2016 of \$888,608.14.

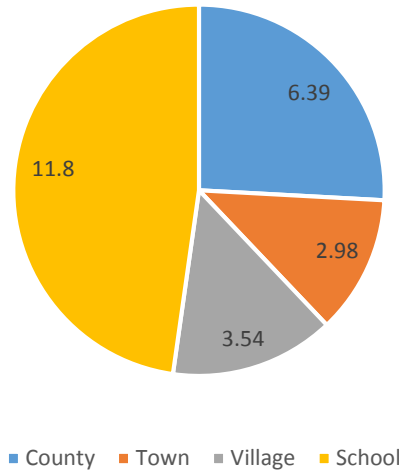
Assuming the 2016-2017 approved Budget appropriations remains the same as the 2015-2016 approved Budget appropriations, 70% of the estimated \$139,704 (CETC) or \$97,792.80 will be applied directly to reduce the total Town Tax Levy of \$888,608.14. Thus, reducing the town-wide tax levy to \$790,815.34.

The remaining 30% can be used for general municipal purposes such as needed equipment to plow the former village streets and/or building stabilization efforts. (General municipal purposes indicates something that would be a town-wide charge. Once the village dissolves the cost of plowing the former village streets would be a general highway expense, including the cost of any needed equipment. The same would be for any building stabilization efforts – the buildings would be town buildings and therefore would be a town-wide charge.)

PROPERTY TAXES PAID

In 2012, village taxpayers paid \$27.41 per \$1,000 assessed value in real property taxes. The figure below illustrates how a typical tax bill was broken down by recipient government. Village taxpayers paid \$3.54 per \$1,000 assessed value to the village (approximately 1/8 of the tax payment). Residents paid \$2.98 per \$1,000 assessed value to the Town of Wilna. Roughly ¼ went to Jefferson County and about half went to the Carthage School District.

Breakdown of Prop. Taxes Paid by Residents 2012*



*This is the most recent data reported by the village to the Office of the State Comptroller.

Based upon the information outlined in the updated March 1, 2016 “Jefferson County Property Tax Rate” report, the village of Herrings taxpayers paid a total of \$24.30 per \$1,000 assessed value in real property taxes during 2015-16 to cover the costs in providing public service to residents and associated administrative fees to maintain the day-to-day operations of the Village of Herrings, Jefferson County, Carthage Area School District and the Town of Wilna.

2015 - Tax Rates per \$1,000							
		Herrings		Deferiet		Carthage	TOV
County*		6.740930		6.740930		6.740930	6.740930
Village		4.980232		9.000000		7.439951	
Fire District						2.266768	2.357488
School*		9.576278		9.576278		9.576278	9.576278
Town-wide		3.000158		3.000158		3.000158	3.000158
Combined Total		24.297598		28.31737		29.02409	21.67485

*Dissolution has no impact on school or county tax rates

Both the village and the town are assessed at 100% assessment value with no difference in equalization rates. The average assessed value between residential property in the village and the town-outside-

village (TOV) differ slightly and is a function of the characteristics of town property that contribute to a higher assessment – i.e. larger lot size, newer homes, etc. Residents of the three villages pay the same town tax rate as those outside the villages.

2015 Property Tax Assessments							
		Herrings	Deferiet		Carthage		TOV
Number Residential Parcels		38	111		727		546
Assessed Value (Average)		\$95,900	\$111,300		\$119,600		\$114,200
Total Assessed Value		\$8,583,698	\$12,352,400		\$86,914,800		\$62,354,200

The total assessed value of properties within the village account for 2.2% of the total assessed value of the entire town (including the other two villages). The village residential parcels account for 2.7% of the total village/town combined number of tax parcels.

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APPENDIX A

TOWN OF WILNA POST DISSOLUTION TAX RATE ANALYSIS & PROJECTION

VILLAGE TO TOWN EXPENDITURE CARRY OVERS

Category	Code	Current Village Expenditure	Assumption	Projected Town Expenditure
BOARD OF TRUSTEES				
Personal Services	A1010.1	4000	Remove	0
EXECUTIVE MAYOR				
Personal Services	A1210.1	3000	Remove	0
Contractual Expenses	A1210.4	1000	Remove	0
CLERK-TREASURER				
Personal Services	A1325.1	2250	Remove	0
Equipment	A1325.2	500	Remove	0
Contractual Expenses	A1325.4	1000	Remove	0
LAW				
Contractual Expenses	A1420.4	1000	Carry Over	1000
BUILDINGS				
Personal Services	A1620.1	2000	Remove 50%	1000
Equipment	A1620.2	1000	Remove 50%	500
Contractual Expenses	A1620.4	5000	Remove 50%	2500
Heating and Lighting	A1620.5	3000	Remove 50%	1500
CENTRAL GARAGE				
Equipment	A1640.2	1500	Remove	0
SPECIAL ITEMS				
Unallocated Insurances	A1910.4	7500	Remove 73%	2000
Contingent Account	A1990.4	1799	Remove	0
FIRE DEPARTMENT				
Contractual Expenses	A3410.4	4500	Carry Over	4500
PUBLIC HEALTH				
Contractual Expenses	A4010.4	200	Remove	0
STREET MAINTENANCE				
Personal Service	A5110.1	500	Carry Over?	500
Equipment	A5110.2	300	Carry Over?	300

Village of Herrings Dissolution Study & Implementation Plan

Category	Code	Current Village Expenditure	Assumption	Projected Town Expenditure
Contractual Expenses	A5110.4	500	Carry Over?	500
SNOW REMOVAL				
Personal Services	A5142.1	1500	Carry Over	1500
Equipment	A5142.2	750	Carry Over	750
Contractual Expenses	A5142.4	1000	Carry Over	1000
STREET LIGHTING				
Contractual Expenses	A5182.4	3000	District	0
PLAYGROUND AND RECREATION				
Personal Services	A7140.1	500	Carry Over	500
Equipment	A7140.2	250	Carry Over	250
Contractual Expenses	A7140.4	500	Carry Over	500
SANITARY SEWER SYSTEM				
Contractual Expenses	A8120.4	0	District	0
Transfer to Sewer Fund	A9501.9	5000	District	0
REFUSE COLLECTION & DISPOSAL				
Personal Services	A8160.1	6500	Remove	0
Equipment	A8160.2	1000	Remove	0
Contractual Expenses	A8160.4	4000	Remove	0
STREET CLEANING				
Personal Services	A8170.1	500	Carry Over	500
Equipment	A8170.2	500	Carry Over	500
Contractual Expenses	A8170.4	500	Carry Over	500
EMPLOYEE BENEFITS				
Social Security	A9030.8	8000	Remove 90%	800
Workmens Compensation	A9030.8	1700	Remove 90%	170
Unemployment Insurance	A9030.8	200	Remove 90%	20
		CURRENT VILLAGE EXPENDITURES		TOTAL PROJECTED TOWN EXPENDITURES
		75949		21290

VILLAGE TO TOWN REVENUE CARRY OVERS

Category	Code	Current Revenue	Assumption	Projected Town Revenue
NON-PROPERTY TAX ITEMS				
County Sales Tax Distribution	A1120	27000	Carry Over	27000
Franchise Tax	A1170	850	Carry Over	850
TRANSPORTATION				
Consolidated Highway Program	A3501	3422	Carry Over	3422
STATE AID				
State Aid-Revenue Sharing (AIM)	A3001	1314	Carry Over	1314
State Aid-Mortgage Tax	A3005	517	Carry Over	517
		CURRENT VILLAGE REVENUES		PROJECTED TOTAL TOWN REVENUES
		33103		33103

FORMULA FOR NEW TAX RATE:

Current Town Tax Levy plus (New Town Expenditures minus (New Town Revenues plus 70% of CETC funds))
Town Tax Base/1000

$$\frac{888,608 + (21,290 - (33,103 + 97,793))}{286,490,217/1000}$$

$$\frac{888,608 + (21,290 - 130,896)}{286,490.2}$$

$$\frac{888,608 - 109,606}{286,490.2}$$

$$\frac{779,002}{286,490.2}$$

=\$2.72 per \$1000 assessed value

Note: This rate is an estimate only.

APPENDIX B

VILLAGE OF HERRINGS POST DISSOLUTION TAX RATE ANALYSIS & PROJECTION

The following is an analysis/prediction of future tax rates for owners of tax parcels currently in the village after a village dissolution.

VILLAGE RESIDENTS CURRENTLY PAY:

Town tax – \$3.10 per \$1,000 assessed value

Village tax - \$4.98 per \$1,000 assessed value

Water charge - \$600 annual charge per EDU*

Sewer charge - \$420 annual charge per EDU*

Note: Property owners also pay county and school taxes, which are omitted here due to the fact that they will not change after dissolution.

Town and village taxes and charges on a property assessed at \$100,000

$310 + 498 + 600 + 420 = \mathbf{\$1,828.00}$

FORMER VILLAGE RESIDENTS WILL PAY:

Town tax - \$2.72 per \$1,000 assessed value (See Appendix A for methodology used to determine this figure)

Water charge - \$600 annual charge per EDU*

Sewer charge – \$700 annual charge per EDU*

Street lighting charge - \$43 annual charge per tax parcel

Town taxes and charges on a property assessed at \$100,000

$272 + 600 + 700 = \mathbf{\$1,615.00}$

Note: The annual charge per EDU* necessary to cover sewer expenditures was calculated as \$658.38. Rounding the charge up to \$700 yields an annual contingency amount of \$1,539.94

*Equivalent Dwelling Unit

APPENDIX C

LOCAL LAWS

Local Laws adopted by the legislative body of a local government (county, city, town or village) are filed with the Department of State pursuant to section 27 of the Municipal Home Rule Law. Additionally, the legislative body of each county is required to provide the Secretary of State with a complete codification of all local laws ("county code") in effect that it has adopted.

The New York Department of State maintains an electronic database of local laws and county codes ("Local Laws Database"). The database contains local laws filed with the Department of State on or after January 1, 1998. It also includes county codes filed on or after April 1, 2015. Local laws and county codes filed with the Department of State are usually included in the Local Laws Database within two business days.

If the Village of Herrings dissolves, its local laws would be replaced by the town laws as the village would cease to exist. Following an on-site research of local laws at the village office located at 35985 State Route 3, Herrings, NY and an on-line research at www.dos.state.ny.us to identify village laws filed with the state, it is determined that local officials did not file local laws with the Department of State.

Likewise a search of public records at the town office, located at 414 State Street, Carthage NY and on-line research at www.dos.state.ny.us to identify local laws filed with the state.

The table below provides a summary of the village and town laws from 1992 - 2016:

Village Local Laws	Town Local Laws
	1997 - Local Law #5 -the T/Wilna Flood Damage Prevention Law, to come into compliance with the New York State Department of Environmental Conservation Regulations.
1992 Local Law 1, Opting out of enforcement of Local Zoning Laws, in lieu of county enforcement.	<p>2000 Local Law 2, to modify certain requirements as to the age of mobile homes and the type of slab on which a mobile home may be placed.</p> <p>2004 Local Law 3, to adopt the New York State Property Maintenance Code, Fire Code, Energy Conservation Code, Plumbing Code, Fuel Gas Code, Mechanical Code and Building Code to assist the Code Officer.</p>

	<p>2004 Local Law 4, to re-adopt the entire zoning law for easier administration neighboring communities</p> <p>2005 Local Law 1, to provide various uses and provide appropriate regulation to the recently adopted Zoning Law</p> <p>2005 Local Law 2, to amend Section 450 of the Zoning Law of the T/Wilna</p> <p>2005 Local Law 3, to amend the Zoning Map of the T/Wilna and to amend the list of permitted uses in B1 and B2 District s to include restaurants/bars.</p> <p>2005 Local Law 4, to increase the amount of eligible income for senior citizens and still qualif for exemption</p> <p>2005 Local Law 5, to change the definitions in the Wilna Zoning Law for Mobile and Modular Homes to be consistent with the New York State Residential Code</p> <p>2006 Local Law 1, to regulate outdoor burning within the T/Wilna</p> <p>2006 Local Law 2, to address definitions and provisions to conform to modern terminology of manufactured housing</p> <p>2006 Local Law 3, providing for the administration and enforcement of the NYS Uniform Fire Prevention and Building Code</p> <p>2007 Local Law 2, to extend the Manufactured Home Overlay District</p> <p>2008 Local Law 1, to clarify the Schedule of Uses</p>
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	<p>2009 Local Law 1, to create regulations for Flag Lots.</p> <p>2009 Local Law 2, to amend the Zoning Map of the Town of Wilna to include certain parcels in the B-1 Zone</p> <p>2010 Local Law 1, with respect to the definition of a "lot of record"</p> <p>2010 Local Law 2, to require all properties to which 911 addresses have been assigned by Jefferson County must post those 911 addresses in such fashion that a stranger can proceed directly to the lot referenced by the 911 number.</p> <p>2011 Local Law 1, to amend the Zoning Law of the Town of Wilna</p> <p>2011 Local Law 2, regulating and licensing dealers in second hand materials, auto parts, activities and (Insert Title) businesses in a comprehensive amendment setting forth licensing criteria and is intended to replace prior laws in the Town of Wilna with respect to the regulations of junk, junk cars, junkyards, etc.</p> <p>2011 Local Law 3, to make certain amendments to Local Law #5 of 1997 - The Town of Wilna (Insert Title) ^ Flood Damage Prevention Law, to come into compliance with the New York State Department of Environmental Conservation Regulations.</p> <p>2011 Local Law 4, to impose a moratorium for a period of six (6) months on recreational facilities related to tracks for motorized vehicles, whether public or private and whether for personal use or public use, pending the adoption of these regulations</p>
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	<p>2012 Local Law 1, to extend the moratorium for a period of three (3) months on recreational facilities related to (Insert Title) tracks for motorized vehicles, whether public or private and whether for personal use or public use, pending the adoption of these regulations.</p> <p>2012 Local Law 3, to extend the moratorium for a period of three (3) months, through October 15, 2012 (Insert Title) on recreational facilities related to tracks for motorized vehicles, whether public or private and whether for personal use or public use, pending the adoption of regulations</p> <p>2012 Local Law 5, to make amendments to the Town of Wilna Zoning Law to provide sufficient regulations (Insert Title) and clarity with respect to recreational facilities and racetracks.</p>
	<p>1998 Local Law 4, open the use of town roads to all-terrain vehicles.</p> <p>1999 Local Law 1, amending the local law regarding ATV use</p> <p>2000 Local Law 3, to amend Local Law #4 of 1998.</p>
2002 Local Law 1, Sewer Use Law	
2003 Local Law 1, to establish residency requirements for the offices of Village Clerk, Village Treasurer, and/or Village Registrar of the Village of Herrings	

<p>2010 Local Law 1, for the control of dogs in the Village of Herrings</p>	<p>2010 Local Law 4, to adopt regulations for the licensing of dogs and dog control issues within the Town</p> <p>2016 Local Law 1, to standard dog control measures and provisions between the Town and the Villages of Carthage and Deferiet to aid for sufficient enforcement.</p>
	<p>2007 Local Law 1, to move Grievance Day from the 1st Wednesday after the fourth Tuesday in May to the third Tuesday in May</p>
<p>2014 Local Law 1, authorizing the Adoption of the Property Tax Levy in Excess of the Limit Established by General Municipal Law Section 3-c</p>	<p>2011 Local Law 5, to override the limit on the amount of real property taxes that may be levied by the Town (Insert Title) of Wilna pursuant to General Municipal Law § 3-c</p> <p>2012 Local Law 4, to override the property tax cap for the coming fiscal year</p> <p>2015 Local Law 1, to override the property tax cap for the coming fiscal year</p>
<p>2016 – Water Use Law – to be defined</p>	<p>2003 - Local Law #2, to establish rules and regulations for Water District #2</p> <p>2003 - Local Law #4, to establish rules and regulations for Water District #2</p> <p>2003 - Local Law #6, to reconsider rates for Water District #1 and to re-adopt the same</p> <p>2004 - Local Law #1, to amend the T/Wilna Water District #2 Rules and Regulations to provide reimbursement for labor as well as the cost of meters when being changed.</p>

	1998 - Local Law #1, amending the local law #5 of 1997 Flood Plain.
	1998 - Local Law #2, Moratorium on construction of telecommunication towers.
	1998 - Local Law #3, Zoning Law amendment - 1998 Cell Towers.
	1998 - Local Law #4, ATV Usage on the Town of Wilna Roads.
	1997 - Local Law #5, Flood Plain.
	1997 - Local Law #4, Amending the Zoning Law of Town of Wilna.
	1997 - Local Law #3, Senior Citizen Exemptions (65 after March 1st).
	1997 - Local Law #2, Restricting accumulation of Garbage, rubbish, clutter, litter & debris.
	1997 - Local Law #1, Enforcement of NYS Building Codes.
	1996 - Local Law #1, Town of Wilna Snowmobile Local Law. 1995 - Local Law #1, Sliding scale partial tax exemption.
	1994 – Local Law #1, Grievance Day 1 st (First) Wednesday after 4 th (Fourth) Tuesday.
	1993 – Local Law #1, Town of Wilna Dog License Fee Law.

	1992 – Local Law #3, Sell to Joyce Shatraw 66.20-1-24.
	1992 – Local Law #2, Prohibit Public from Trespassing at Landfill Site – fines.
	1992 – Local Law #1, Prohibit Parking on town roads.
	1991 – Local Law #3, Amending Local Law #2 of 1991 Flood Damage.
	1991 – Local Law #2, Amending Local Law #3 of 1989 Flood Damage Prevention.
	1991 – Local Law #1, Amending Local Law #5 of 1987 Tipping Fees Schedule.
	1990 – Local Law #2, Authorizing Conduct of Games of Chance.
	1990 – Local Law #1, Amending Local Law #5 of 1987 Tipping Fees.
	1989 – Local Law #2, Amending Local Law #2 for 1987 Flood Damage Prevention.
	1989 – Local law #1, Rules for Playground in Natural Bridge.
	1988 – Local Law # 1, Subdivision Control Law.
	1987 – Local Law #5, Tipping Fee Schedule for Town of Wilna Landfill.
	1987 – Local law #4, Amends Local Law #3 of 1987 Abandoned & Junked Vehicles (Repealed by Local Law #2 of 2011).

	1987 – Local Law #3, Amends Local Law #2 of 1986 & Local Law #4 of 1983 Abandoned Junk and Junked Vehicles (Repealed by Local Law #2 of 2011).
	1987 – Local Law #2, Amending Local Law #1 of 1984 Flood Damage Prevention.
	1987 – Local Law #1, Town of Wilna Zoning Law.
	1986 – Local Law #3, Adult Entertainment Use Moratorium Law.
	1986 – Local Law #2, Amending Local Law #4 of 1983 Abandoned Junked Vehicles (Repealed by Local Law #2 of 2011)
	1986 – Local Law #1, Prior Notice Law.
	1985 – Local Law #2, Alternative Veterans Exemption
	1985 – Local Law #1, Proportionally Changing Veterans Exemptions;
	1984 – Local Law #3, Alternate Veterans Exemption.
	1984 – Local Law #2, Repair or Removal of Unsafe Buildings.
	1984 – Local Law #1, Flood Damage Prevention.
	1983 – Local Law #4, Abandoned and Junked Vehicles (Repealed by Local Law #2 of 2011) 1983 – Local Law #3, Publication of Ordinances.

	1983 – Local Law #2, Assessor to be Appointed.
	1983 – Local Law #1, State Uniform Fire Prevention Code.
	1982 – Local Law #1, Adjust Veterans Benefits.
	1980 – Local Law #2, Dog License Fees.
	1980 – Local Law #1, Sanitary Landfill Operations Law.

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APPENDIX D

DISSOLUTION PROCESS TIMELINE

Village of Herrings Dissolution Process Timeline

Friday, July 31, 2015	Herrings clerk presented with petition for dissolution (24 signatures, more than 20% of the electorate based on GML Article 17.
Sunday, August 2, 2015	Herrings village board meeting
Sunday August 9, 2015	Herrings village board meeting – trustee and clerk appointed to fill vacancies
Monday, August 10, 2015	Petition brought to board of elections.
Tuesday, August 11, 2015	Petition determined sufficient. Before September 9, must hold village board meeting with resolution date for referendum, which must be between 60 and 90 days from that board meeting.
August 30, 2015	V/Herrings Special Board Meeting to validate petition to dissolve and schedule a referendum date during the general election (Nov. 3 rd) Board approval of a formal resolution.
September 3, 2015	Herrings Special Board meeting. Village board sets Tuesday, November 3 for referendum on village dissolution.
<<The dissolution referendum notice must be published at least once per week for four consecutive weeks immediately prior to the referendum.>>	
October 4, 2015	Herrings village board meeting.
November 1, 2015	Herrings village board meeting and public information session on village dissolution. Invite Rob Roeckle from DOS to attend.
November 3, 2015	General Election, Referendum on village dissolution. Electors vote to dissolve the village.
Sunday, December 6, 2015	<p>Herrings village board meeting</p> <p>First village board meeting since November 1; starts clock on 180 day study process</p> <p>Village board passes resolution to apply for NYSDOS Local Government Efficiency Grant;</p> <p>Appoint a Re-organization Study Committee (RSC) and charge it with developing a re-organization study and implementation plan;</p>

Monday, December 7, 2015	<p>Submit Expedited Assistance NYSDOS CREG grant</p> <p>Coordinate DSC Meeting with DOS Rep (Rob Roeckle) to discuss goals, objectives, state requirements and expectations.</p> <p>Herrings identifies potential source(s) for professional services needed</p>
Wednesday, January 6, 2016	DSC meeting
Sunday, January 10, 2016	Herrings village board meeting;
Thursday, February 4, 2016	DSC meeting
Sunday, February 7, 2016	Herrings village board meeting
Thursday, March 3, 2016	DSC meeting
Sunday, March 6, 2016	Herrings village board meeting
Sunday, April 3, 2016	Herrings village board meeting (consider moving?)
Thursday, April 7, 2016	DSC meeting
Sunday, May 1, 2016	Herrings village board meeting (consider moving?)
Thursday, May 5, 2016	DSC meeting
Thursday, June 2, 2016	DSC meeting
Friday, June 3, 2016	180 days after December 6, 2015; deadline for completion of draft study
Sunday, June 5, 2016	Herrings village board meeting – approve dissolution plan
Friday, June 10, 2016	Plan available to public at public places, website; descriptive summary published in newspaper once a week for four successive weeks; mail certified/registered mail to the supervisor of Wilna
Between Sunday, July 10, 2016 And Saturday September 3, 2016	Hold public hearing; Must be noticed at least 10 but not more than 20 days ahead of the public hearing date, in both the newspaper and website

APPENDIX E

HERRINGS DISSOLUTION STUDY & PLAN CONTRIBUTORS

Herrings Dissolution Study & Plan Contributors	
<u>Name</u>	<u>Affiliation</u>
David Arnold	Herrings resident/Sewer Operator
Charlie Burns	Trustee, Herrings
Christal Loeffler	Mayor, Herrings
Paul Smith	Supervisor, Wilna
Sue Storms	Councilwoman, Wilna
Pat Britton (alternate)	Councilman, Wilna
Vickie Druesedow (alternate)	Trustee, Herrings
<u>Staff/Advisors</u>	
Ramona Lacey-Gonzalez	Clerk/Treasurer, Herrings
Larry Hasseler	Attorney, Herrings
Paul Warneck	Jefferson County Real Property
Kathy Amyot	Municipal Management Consultant, Tug Hill Commission/RACOG
Mickey Dietrich	GIS Specialist, Tug Hill Commission
Katie Malinowski	Executive Director, Tug Hill Commission
Carla Malmgren	Community Development Specialist, Tug Hill Commission
Robert Roeckle	Land Use Training Specialist, Department of State
Kevin Schwenzfeier	Local Government Training Specialist, Department of State
Mark Gebo	Attorney, Wilna
Elizabeth Bush	CPA & Partner, Bowers & Company
Robert Ball	Assessor, Wilna
<u>Consultants</u>	
Mickey Lehman	Principal, BCA Architects & Engineers
<u>Interviewees</u>	
Janet Zando	Mayor, Deferiet
Robert Ball	Assessor, Wilna
Jim Yuhas	Financial Manager, Wilna & Deferiet

APPENDIX F

TOWN OF WILNA/VILLAGE OF DEFERIET FIRE PROTECTION AGREEMENT

AGREEMENT, made as of the 24th day of January, 2014, between the TOWN OF WILNA, a municipal corporation, being one of the Towns of the County of Jefferson and State of New York, hereinafter designated as party of the first part, and the VILLAGE OF DEFERIET, a municipal corporation organized and existing under the laws of the State of New York, being one of the Villages of the County of Jefferson, New York, hereinafter designated as the party of the second part.

WITNESSETH:

WHEREAS, there has heretofore been duly established in said Town of Wilna, a fire protection district known as the "Wilna Fire Protection District" embracing territory in said Town of Wilna with the exception of lands included within the Villages of Carthage, Deferiet and Herrings and the Natural Bridge Fire District, such territory being more fully described in the resolution establishing such fire protection district and duly adopted by the Town of Wilna on the 6th day of November 1953, and

WHEREAS, following a public hearing duly called, the said party of the first part by its Town Board duly authorized a contract with the party of the second part for fire protection to such part and area of the said district as hereafter more particularly described, upon the terms and provisions herein set forth, and

WHEREAS, this contract has been duly authorized by resolution of the Board of Trustees of the party of the second part,

NOW, THEREFORE, the party of the first part does hereby engage the party of the second part to furnish fire protection for said district, and the party of the second part agrees to furnish such protection in the manner following, to wit:

- 1) The said party of the second part shall at all times during the period of this agreement, be subject to all for attendance upon any fire occurring in its designated area in such district, and when notified by alarm or telephone call from any party within the district of a fire within the district, such department shall respond and attend upon the fire without delay with one or more companies and with suitable ladder, pumping and hose apparatus of the party of the second part. Upon arriving at the scene of the fire, the firemen of the party of the second part shall proceed diligently and in every way reasonably suggested to the extinguishment of the fire, and the saving of life and property in connection therewith.
- 2) In consideration of furnishing aid and the use of its apparatus or supplying other men and other apparatus as aforesaid, the party of the second part shall receive for the calendar year 2014 the sum of TWENTY-FIVE THOUSAND DOLLARS AND 00/100 (\$25,000), which said sum the party of the first part covenants and agrees to pay to the party of the second part.

- 3) That party of the second part covenants and agrees that it will in no way hold the party of the first part responsible for loss or damage sustained to the fire apparatus or other equipment of the party of the second part in answering, attending upon, or returning from a call for service in said fire protection district, whether such loss or damage is sustained in the district or outside thereof, and irrespective of the cause therefore. The party of the second part further covenants and agrees that it will in no way hold the party of the first part responsible for expense incurred in the operation of its fire apparatus or equipment in answering any such call together with the cost of any and all materials used by the party of the second part.
- 4) All money to be paid by the party of the first part under this agreement shall be charged upon the said fire protection district to be assessed and levied upon the taxable property in said district and collected with the taxes for the Town of Wilna.
- 5) The portion of said district to which the party of the second part agrees to furnish protection is described as follows:
All territory within the area outlined on the attached maps. These parcels correspond to the 911 system at Jefferson County Fire Control and form the basis of this map. On New York State Route 3 Westerly from the Herrings Village boundary to the Deferiet Village boundary, Easterly on County Route 36 from the Deferiet Village boundary to the Fort Drum boundary and Northerly from New York State Route 3 on County Route 37 to the Fort Drum boundary - includes Section 66.00 and 66.20.
- 6) This agreement is effective January 1, 2014, through December 31, 2014.
- 7) Any termination by either of the principals shall be given in writing to the other party on or before the 20th day of August, so as to terminate the contract on December 31st of the same year.

IN WITNESS WHEREOF, the parties hereto have duly executed and delivered this agreement on the _____ day of _____, 2014.

TOWN OF WILNA

By: Paul H. Smith
Paul H. Smith, Supervisor

VILLAGE OF DEFERIET

By: Janet M. Zando
Janet Zando, Mayor

ACKNOWLEDGMENTS

STATE OF NEW YORK)
) ss.:
COUNTY OF JEFFERSON)

On the 24th of January in the year 2014 before me, the undersigned, a Notary Public in and for said state, personally appeared Paul H. Smith, personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his capacity, and that by his signature on the instrument, the individual acted, executed the instrument.

Michel R Camidge
Notary Public

MICHEL R CAMIDGE
Notary Public, State of New York
No. 4973475
Qualified in Jefferson County
Commission Expires October 22, 2014

STATE OF NEW YORK)
) ss.:
COUNTY OF JEFFERSON)

On the 24th day of January in the year 2014 before me, the undersigned a Notary Public in and for said state, personally appeared Janet Zando, personally known to me or proved to me on the basis of satisfactory evidence to be the individual whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his capacity, and that by her signature on the instrument, the individual acted, executed the instrument.

Michel R Camidge
Notary Public

MICHEL R CAMIDGE
Notary Public, State of New York
No. 4973475
Qualified in Jefferson County
Commission Expires October 22, 2014

APPENDIX G

SEWER & WATER TREATMENT REVENUES AND EXPENDITURES

Sewer Treatment Revenues & Expenditures	
Village of Herrings	
Revenues	
Flat Rate User Fee	\$15,540
Independent Hauler Contract	\$3,360
Expenditures	
O&M Cost	\$11,500
Debt Service	\$3,700
Administration Cost	\$1,100
Operations Management Salary	\$8,060
Contingency	(\$5,460)

Water Revenues & Expenditures	
Village of Herrings	
Revenues	
Flat Rate User Fee	\$25,200
Expenditures	
O&M Cost	\$8,213
Debt Service	\$10,600
Administration Cost	\$1,500
Contingency	\$4,888

APPENDIX H

MAPS

DRAFT

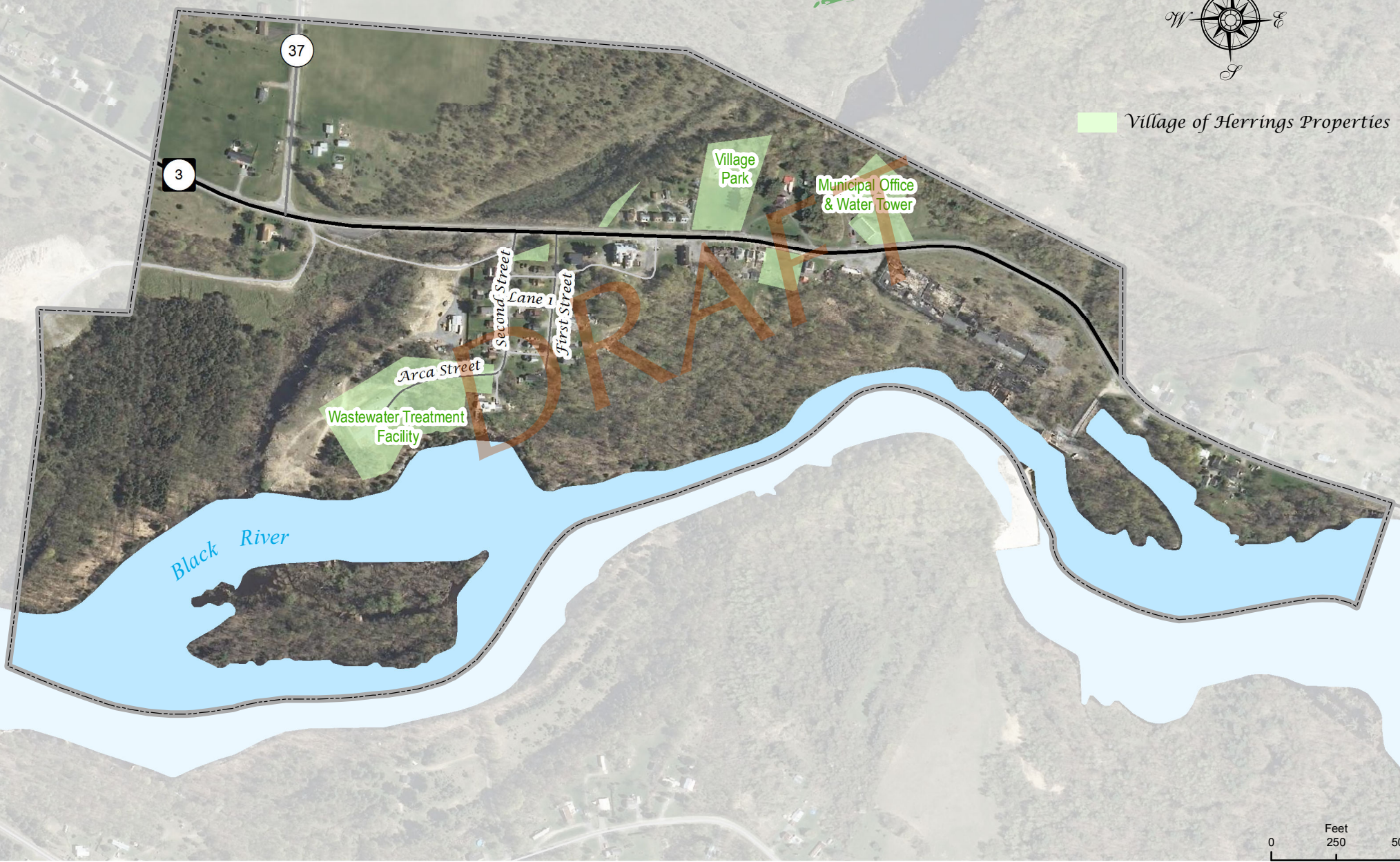
Town of
Wilna
Village of
Herrings

Jefferson
County
Town of
Wilna

Jefferson
County
New York State



Village of Herrings Properties





37

3

Village Park

Municipal Office
& Water Tower

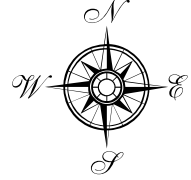
Arca Street
Wastewater Treatment
Facility

Second Street

Lane 1

First Street

Black River



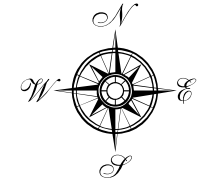
Sidewalk

Village of Herrings Properties

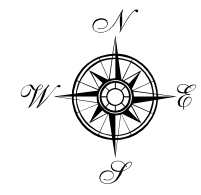
DRAFT

0 Feet 250 500

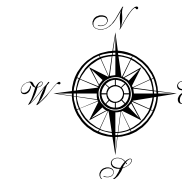
- Curb Stop
- ▲ Reducer
- Water Valve
- Water Mains
- Water Laterals
- Village of Herrings Properties

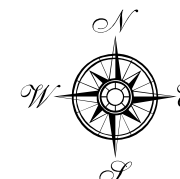


- Hydrant
- Guard Valve
- Meter Pit
- Water Mains
- Water Laterals
- Village of Herrings Properties



- Sewer Treatment Plant Infrastructure
- Force Main
- Sewer Main
- Sewer Lateral
- Outfall Pipe
- Grinder Pump
- Lift Station
- Manhole





- Light Pole
- Village of Herrings Properties

