



Department  
of State



Northern Border  
Regional Commission

# Northern Border Regional Commission (NBRC)

Tug Hill Commission Local Government Conference  
March 28, 2019

April 1, 2019



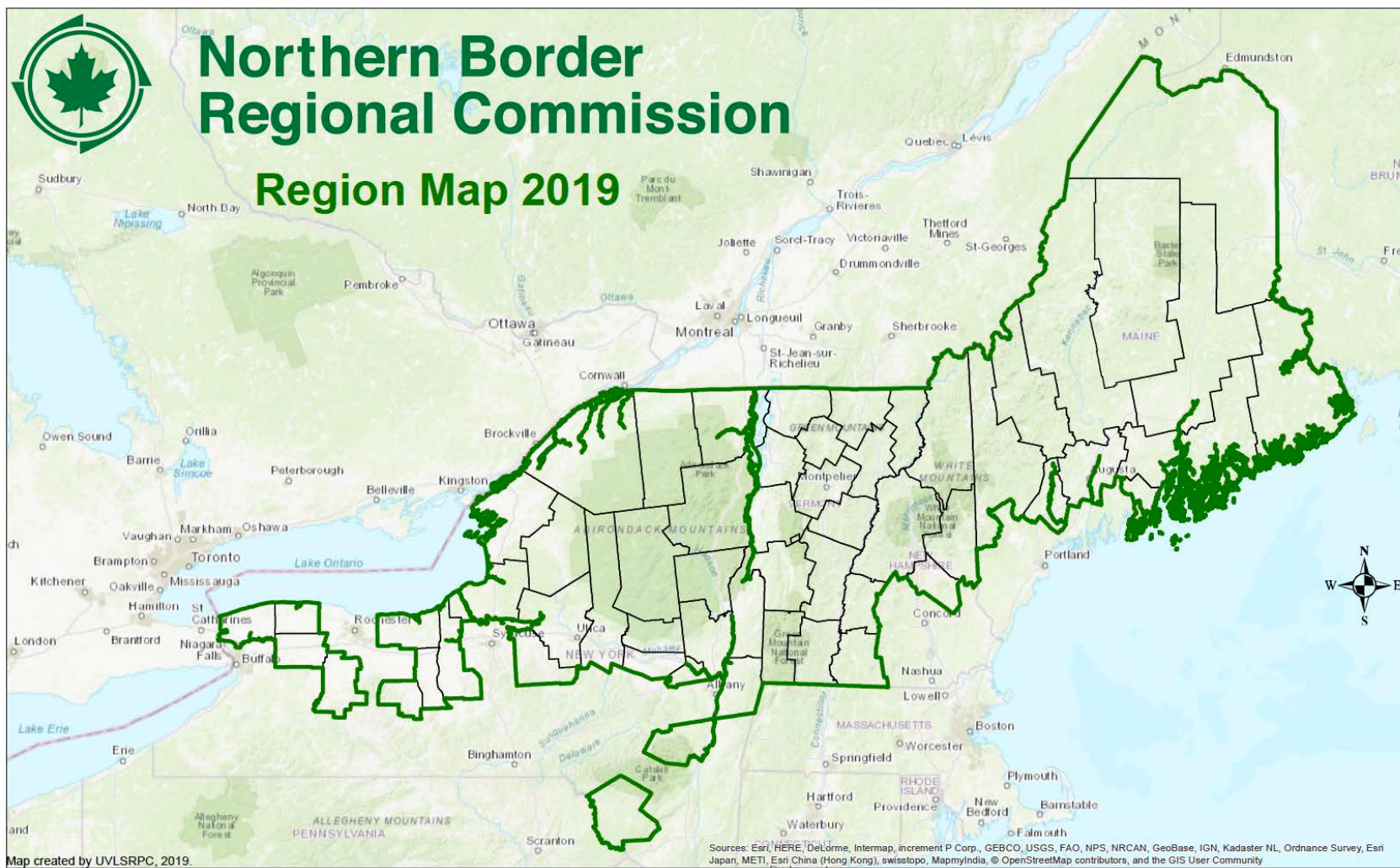
Department  
of State



Northern Border  
Regional Commission

# Northern Border Regional Commission

- Established in 2008
- Partnership between the Federal Government and the State's of Maine, New Hampshire, New York and Vermont
- Purpose is to promote and inspire economic vitality in the rural regions of the member states through infrastructure investments, investments in people, training and communities





Department  
of State



Northern Border  
Regional Commission

## Northern Border Regional Commission

- Federally appropriated funding
- Federal and State Government Partnership
  - Federal Staff
    - Co-Chair Harold Parker
  - New York State Staff
    - Mark Pattison, NY Governor's Alternate
    - Kyle Wilber, NY Program Manager
  - NBRC Staff
    - Christine Frost, Program Director



Department  
of State



Northern Border  
Regional Commission

## Investments Across Region

- Historical Funding Analysis (FFY 2010 – 2018)
  - 201 Awards
  - \$42,485,597 in Investments
- FFY 2018 Program Year
  - 89 Applications for \$26,176,965
  - 46 Grants for \$11,546,840
  - Leveraged Match Ratio - 1:4.5

## Federal Appropriations





**Department  
of State**



**Northern Border  
Regional Commission**

# State Economic and Infrastructure Development Grant

## Federal Fiscal Year 2019



Department  
of State



Northern Border  
Regional Commission

# State Economic & Infrastructure Development Grant

- March 29
- May 10
- July 26 – August 2
- August 30
- September 2- October 18
- September 30, 2020

Letters of Notification (appreciated)  
Applications Due  
Grant Award Announcements  
Signed Grant Agreements to NBRC  
Mandatory Grant Recipient Webinar  
Must receive Notice to Proceed



Department  
of State



Northern Border  
Regional Commission

# State Economic & Infrastructure Development Grant

Total Funds Available for 2019

**\$14,072,853**

Approximately Allocated evenly across the four states

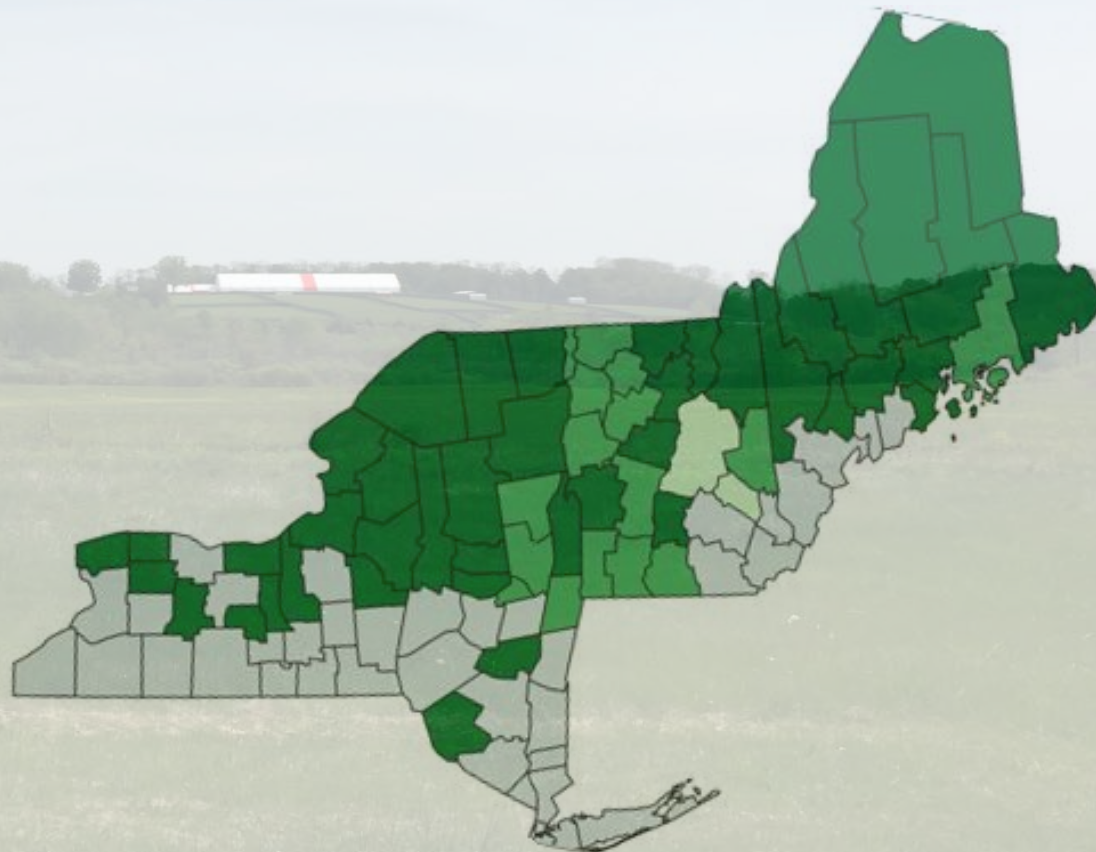
New York State **\$3.5+ Million**



# State Economic & Infrastructure Development Grant

## Northern Border Regional Commission Distress Criteria 2019

-  Distressed %20 Minimum  
Required
-  Transitional %50 Minimum  
Match Required
-  Attainment non eligible  
county





Department  
of State



Northern Border  
Regional Commission

# State Economic & Infrastructure Development Grant

## Eligible Applicants

- State governments, local governments and Indian tribes
- Regional planning commissions, state authorities

## Ineligible Applicants

- For-profit entities, LLCs and other entities that are not a 501(c)
- Normally eligible entities, deemed ineligible due to prior federal or state funding history
- Prior recipients with less than 75% project completion



Department  
of State



Northern Border  
Regional Commission

# State Economic & Infrastructure Development Grant

## Eligible Funding Categories

- Infrastructure (transportation, basic public infrastructure and telecommunications)  
Workforce education, entrepreneurship, and business development
- Basic health care and other public services to assist economically distressed areas
- Resource conservation, tourism, recreation, and preservation of open space
- Development of renewable and alternative energy sources

## Ineligible Uses

- Pass-through funding
- Business relation location assistance
- Funding to “supplant” existing federal programs.
- Promotion of unfair competition between businesses



Department  
of State



Northern Border  
Regional Commission

# State Economic & Infrastructure Development Grant

## Project Funding and Match

- \$500,000 for an infrastructure project
- \$250,000 for all other project types
- Grants are reimbursements of previously paid and eligible expenses

## Project Match

- 20% match in distressed counties
- 50% match in transitional counties
- Federal funds can be used as match
  - All federal funding is capped at 80% per project



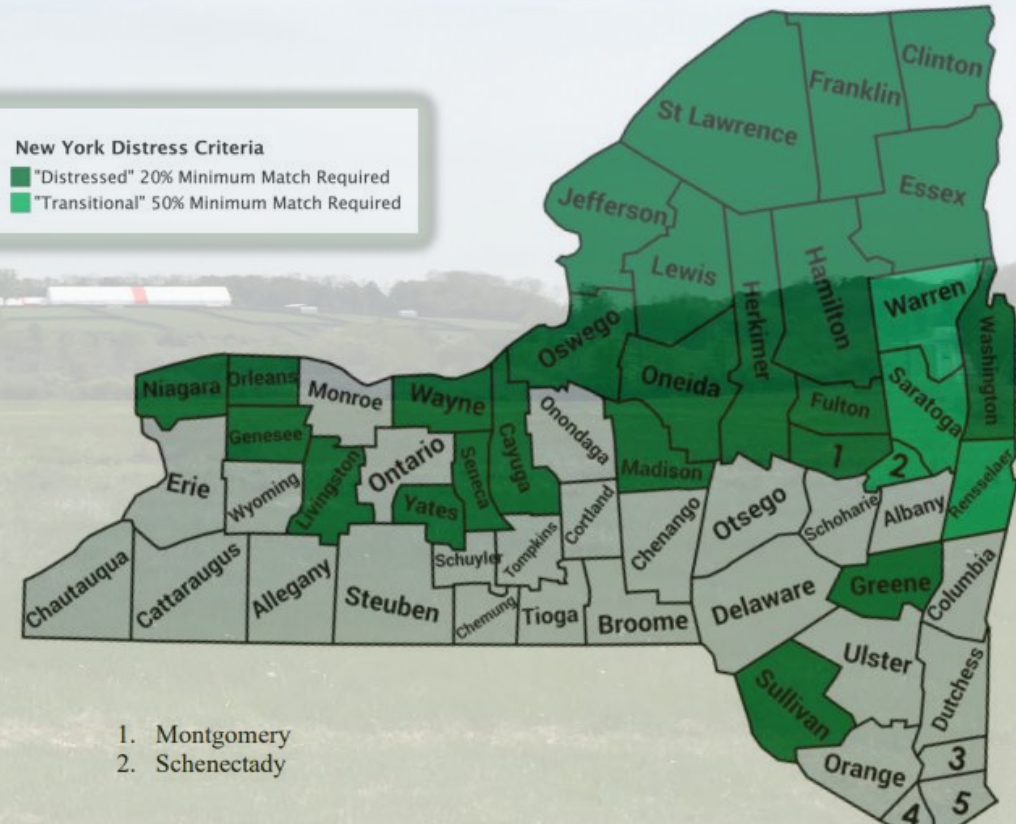
Department  
of State



Northern Border  
Regional Commission

# State Economic & Infrastructure Development Grant

## New York County Designations





Department  
of State



Northern Border  
Regional Commission

# State Economic & Infrastructure Development Grant

## Eligible Match

- Volunteer time
- Indirect rate
- Municipal force accounts
- In-kind
- Donations

## Multi-State, Multi-County Applications

- Encouraged
- Average the county distress criteria



**Department  
of State**



**Northern Border  
Regional Commission**

# State Economic & Infrastructure Development Grant

Program Updates from 2018

- State Priorities
- New counties
- Distress Criteria
- Budget Form
- <http://www.nbrc.gov/>



**Department  
of State**



**Northern Border  
Regional Commission**

# State Economic & Infrastructure Development Grant

## Contract and Project Implementation Requirements

- Grant Agreement signed
- Funding in place
- Ownership interests secured
- Items listed in Grant Agreement Completed



Department  
of State



Northern Border  
Regional Commission

# State Economic & Infrastructure Development Grant

Are you prepared to submit an application in 2019?

- Match will be secured by September 2020
- Eligible Entity
- Clear scope of work
- Budget that has been vetted with known costs
- Have ownership/control over property

?s



**Department  
of State**



**Northern Border  
Regional Commission**

# **Northern Border Regional Commission (NBRC)**

## **Regional Forest Economy Partnership**

**April 1, 2019**



**Department  
of State**



**Northern Border  
Regional Commission**

# Regional Forest Economy Partnership

## **Purpose:**

To address the economic shift produced by the chronic and consistent decline of the forest products industry that has led to displacement of a skilled workforce and significant negative demographic changes in the rural areas of the Northern Border Regional Commission region.

## **Goal:**

Fund projects that, when completed, increase the ability of the four-state region to attract new opportunities, that are highly leveraged, transformational investments that have a fundamental impact on community economic revitalization.



Department  
of State



Northern Border  
Regional Commission

## Regional Forest Economy Partnership

| Letters of Interest Due | Invitation for Full Application | Full Application Due | Awards Announced |
|-------------------------|---------------------------------|----------------------|------------------|
| March 15                | April 15                        | May 15               | June 15          |
| May 15                  | June 15                         | July 15              | August 15        |
| September 15            | October 15                      | November 15          | December 15      |



Department  
of State



Northern Border  
Regional Commission

# Regional Forest Economy Partnership

All the same regulations and congressional directives apply

- Distress Criteria used to determine minimum required match
- 50 % of Appropriations used in Distressed counties
- 40% of Appropriations spent on Infrastructure
- Eligible Applicants
  - Local Governments, Political subdivisions, non-profits, Indian Tribes
- 80% cap on federal dollars in any project



Department  
of State



Northern Border  
Regional Commission

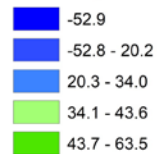
# Regional Forest Economy Partnership

## Threshold Criteria

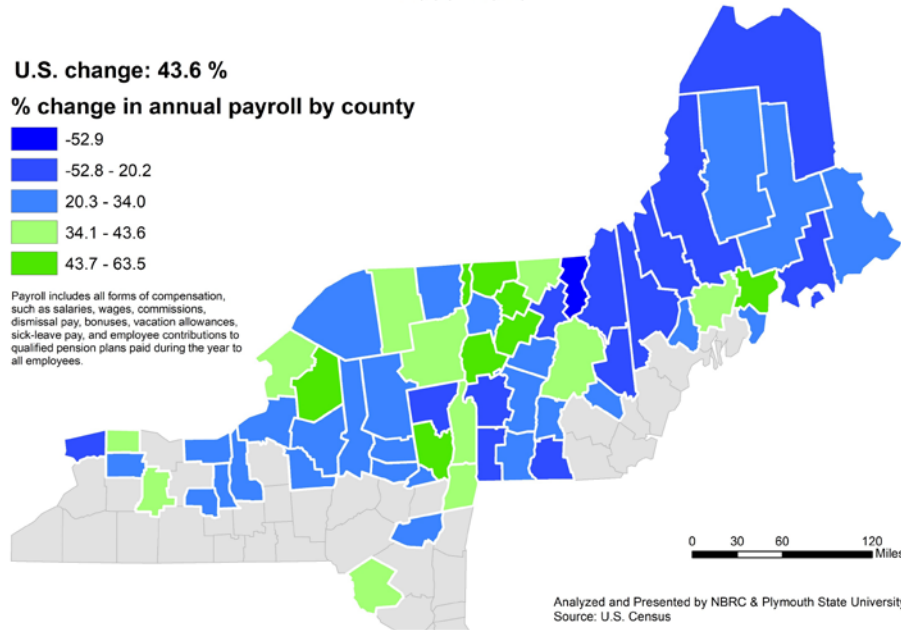
Payroll in Northern Border Regional Commission Region  
2005- 2016

U.S. change: 43.6 %

% change in annual payroll by county



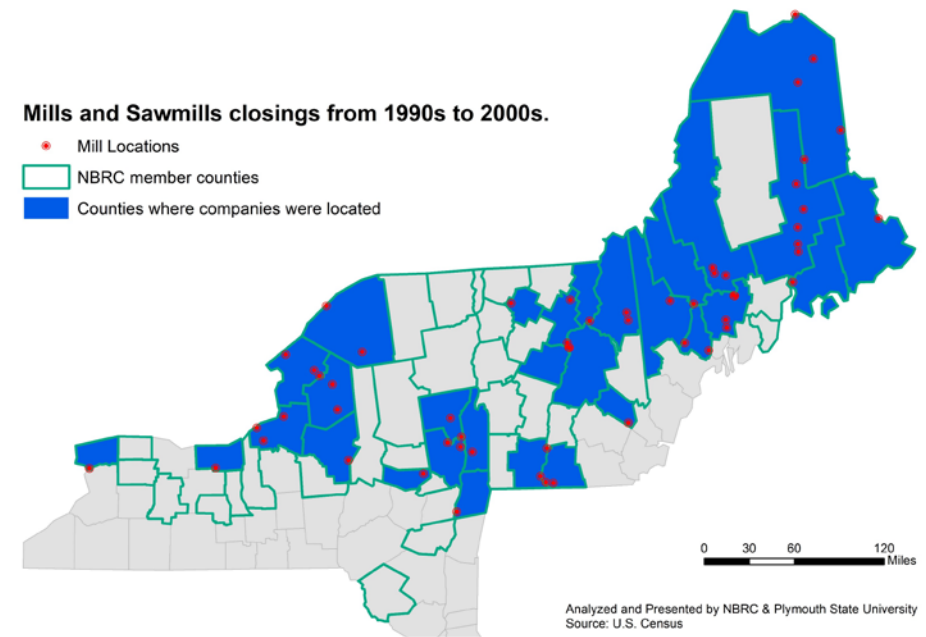
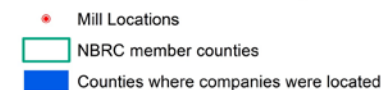
Payroll includes all forms of compensation, such as salaries, wages, commissions, dismissal pay, bonuses, vacation allowances, sick-leave pay, and employee contributions to qualified pension plans paid during the year to all employees.



Analyzed and Presented by NBRC & Plymouth State University  
Source: U.S. Census

Mills and Sawmill in Northern Border Regional Commission Region

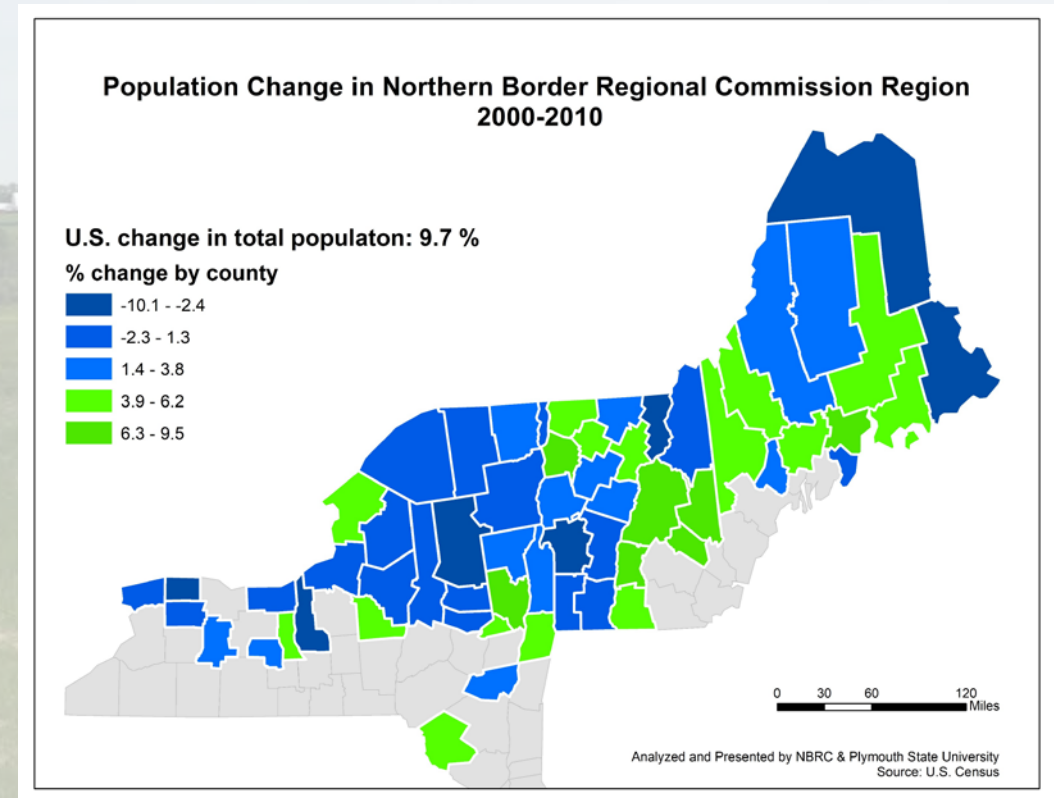
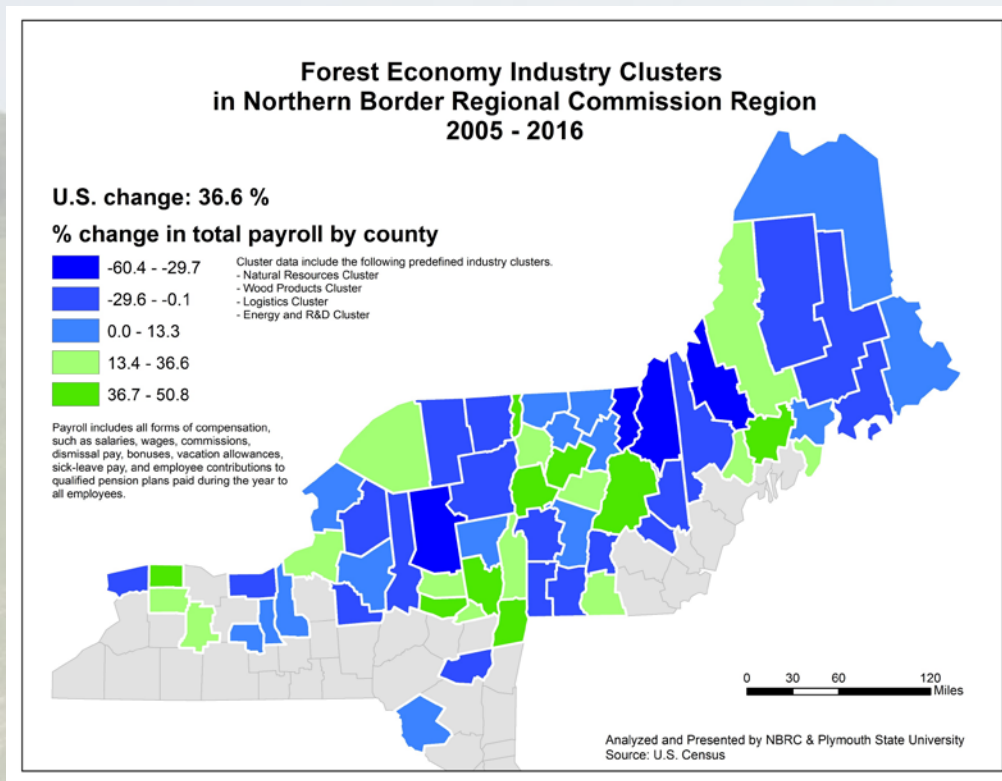
Mills and Sawmills closings from 1990s to 2000s.



Analyzed and Presented by NBRC & Plymouth State University  
Source: U.S. Census

# Regional Forest Economy Partnership

## Threshold Criteria





Department  
of State



Northern Border  
Regional Commission

# Regional Forest Economy Partnership

- Evaluation Advisory Team
- Invitation to submit full application
- 2 years to complete projects
- 6 months to complete funding
- Projects that are regional in scope



**Department  
of State**



**Northern Border  
Regional Commission**

# Northern Border Regional Commission (NBRC)

## New York State Program

**April 1, 2019**

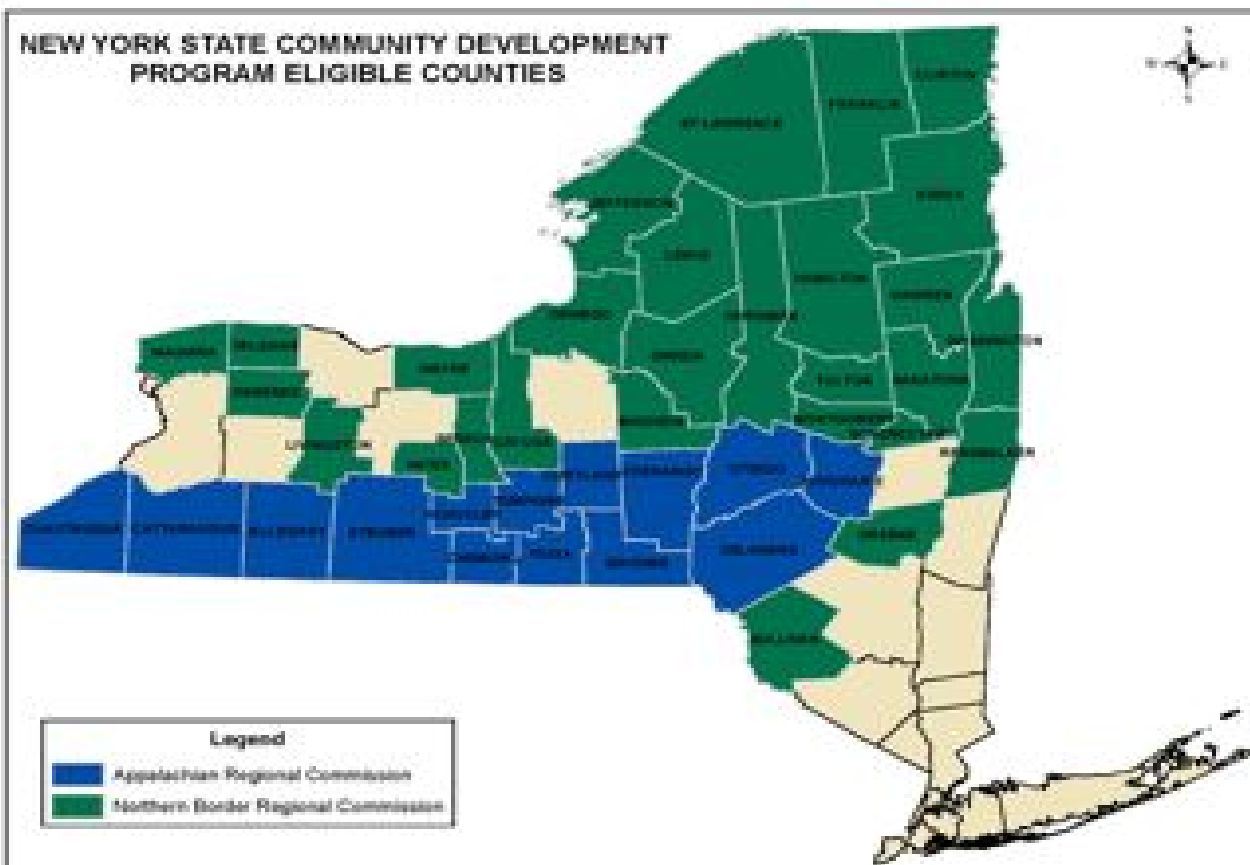


Department  
of State



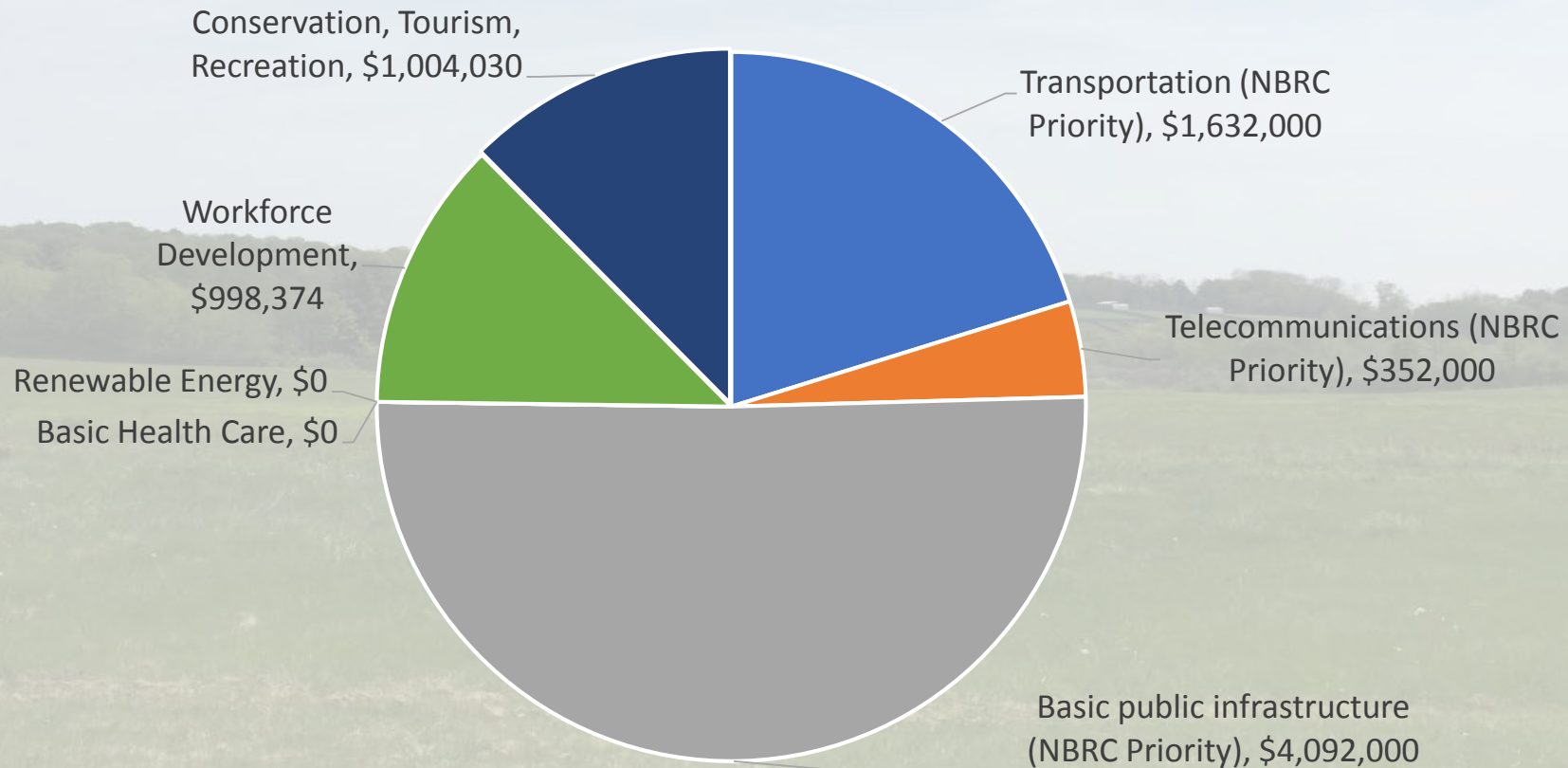
Northern Border  
Regional Commission

## New York State Program





## New York 2018 NBRC Applications by Category



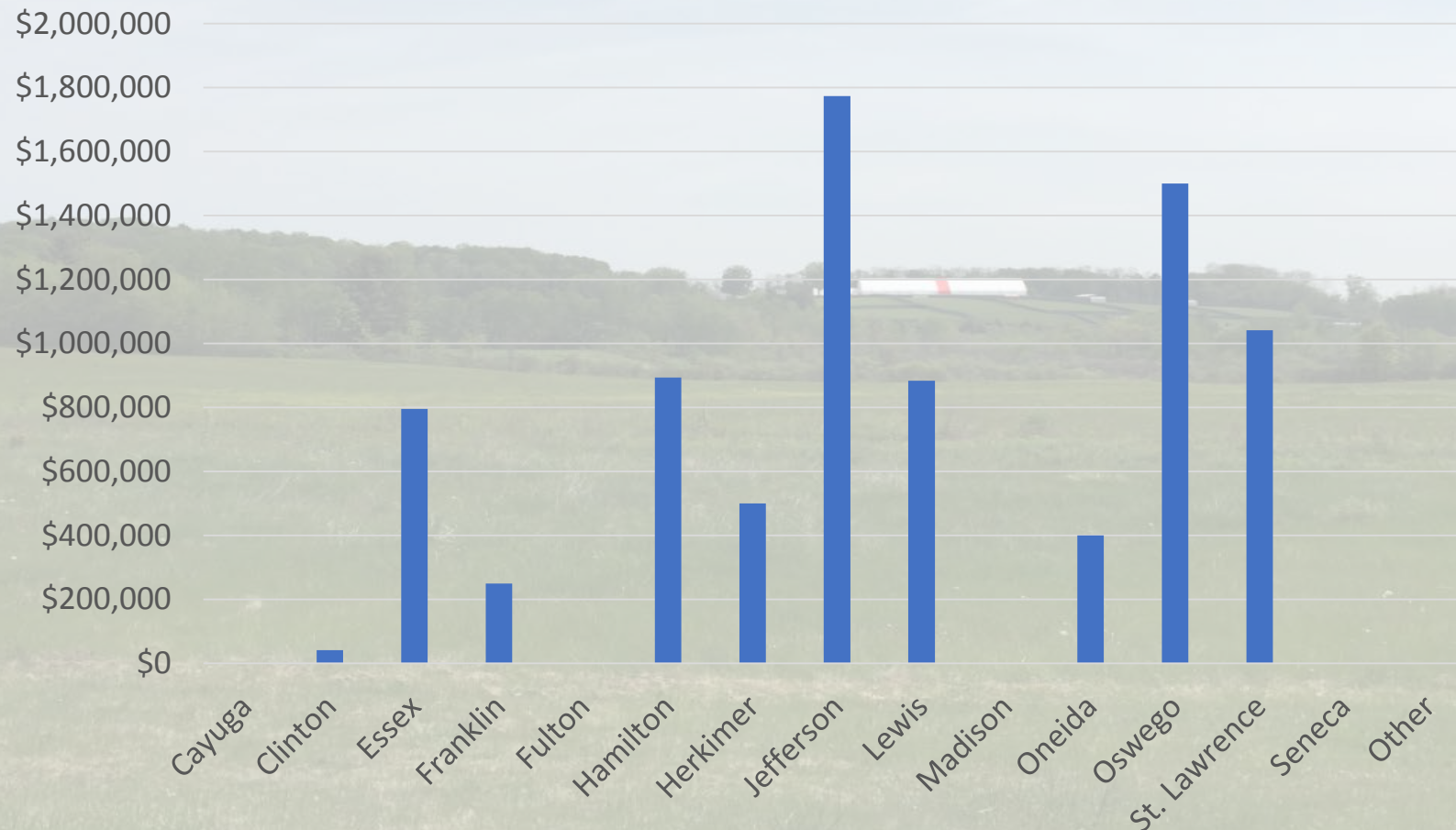


Department  
of State



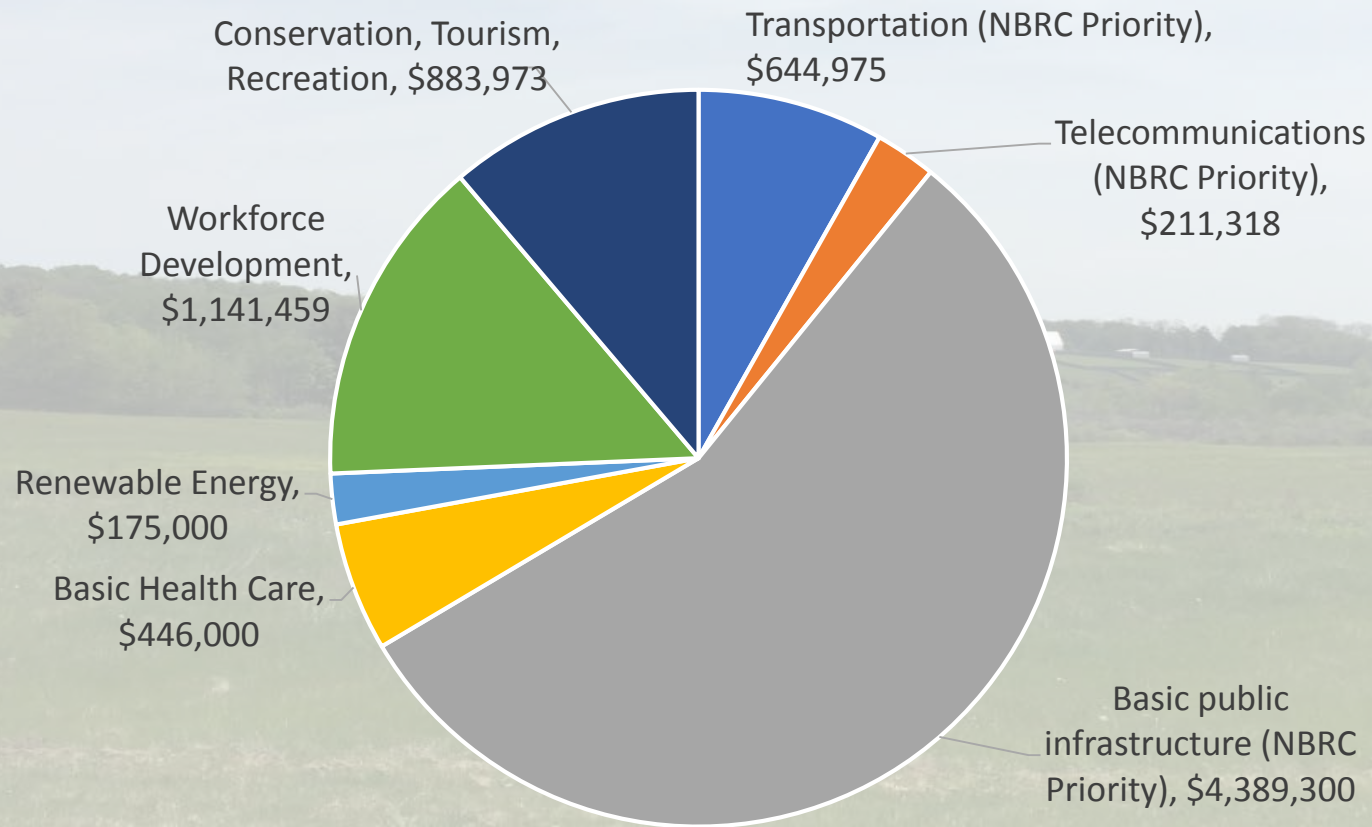
Northern Border  
Regional Commission

### New York 2018 NBRC Applications by County





## New York NBRC Awards since 2011 - 2017 by Category





Department  
of State



Northern Border  
Regional Commission

### New York NBRC Awards since 2011 – 2017 by County





Department  
of State



Northern Border  
Regional Commission

# New York State Review Criteria

## Regional Significance and Long-Term Impact

- Job creation and retention
- Investment in downtowns
- Geographic distribution
- Delivery of a critical service
- Uniqueness and innovation

## Project Need

- Meets a critical opportunity for communities impacted



Department  
of State



Northern Border  
Regional Commission

# New York State Review Criteria

## Project Impact

- Project outputs and outcomes are clearly defined and reliable
- Cost benefit of the project measured against NBRC investment

## Implementation Capacity and Commitment

- Sponsor's commitment to the project, credentials and track record
- Project readiness, including requisite approvals

## Budget, Work Plan and Timeline



Department  
of State



Northern Border  
Regional Commission

## New York State Program Examples

### 2011 Grant

Clayton Local Development Corporation - \$250,000

Installation of basic public utilities at the Frink America site on the St. Lawrence River.

Remediated brownfield, required removal of all existing utilities on the site.

Parcel marketed for hotel development.

\$20 million investment, creates an estimated 80 full-time equivalent jobs.





Department  
of State



Northern Border  
Regional Commission

## New York State Program Examples

### 2014 Grant

Hudson Headwaters Health Network - \$250,000

Construction of a new community health center in Champlain, Clinton County

Built to manage a 30% increase to existing facility.

25,916 square feet health center, located on Route 11, just east of the Northway. Construction is expected to begin this spring and cost about \$9 million to build and outfit.





Department  
of State



Northern Border  
Regional Commission

## New York State Program Examples

### 2016 Grant

Clarkson University - \$250,000

Conversion of Damon Hall into light manufacturing incubator space, creating new spaces for startups

Building upon Clarkson's Shipley Center for Innovation's success which had created 147 business startups with 382 active projects currently in the pipeline across the North Country region





Department  
of State



Northern Border  
Regional Commission

## New York State Program Examples

### 2013 Grant

Port of Oswego Authority - \$192,000

Rail spur construction and additional rail car storage

Only deep-water port on the U.S. shores of Lake Ontario

Enhance the port's accessibility for international shipping





**Department  
of State**



**Northern Border  
Regional Commission**

## Contact Information

Christine Frost, Program Director  
Northern Border Regional Commission

[cfrost@nbrc.gov](mailto:cfrost@nbrc.gov)

603-369-3001 X 2

[www.nbrc.gov](http://www.nbrc.gov)

Kyle Wilber, Program Manager  
New York State Division of Local Government

[Kyle.Wilber@dos.ny.gov](mailto:Kyle.Wilber@dos.ny.gov)

518-473-3355

[www.dos.ny.gov/lg](http://www.dos.ny.gov/lg)